



# The Darker Side of LEDs

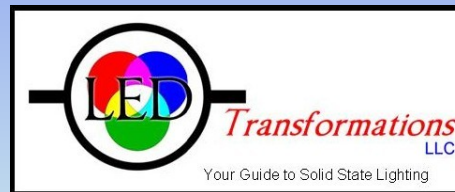
Indiana Electrical Expo 08

April 23, 2008

Dr. John W. Curran, President

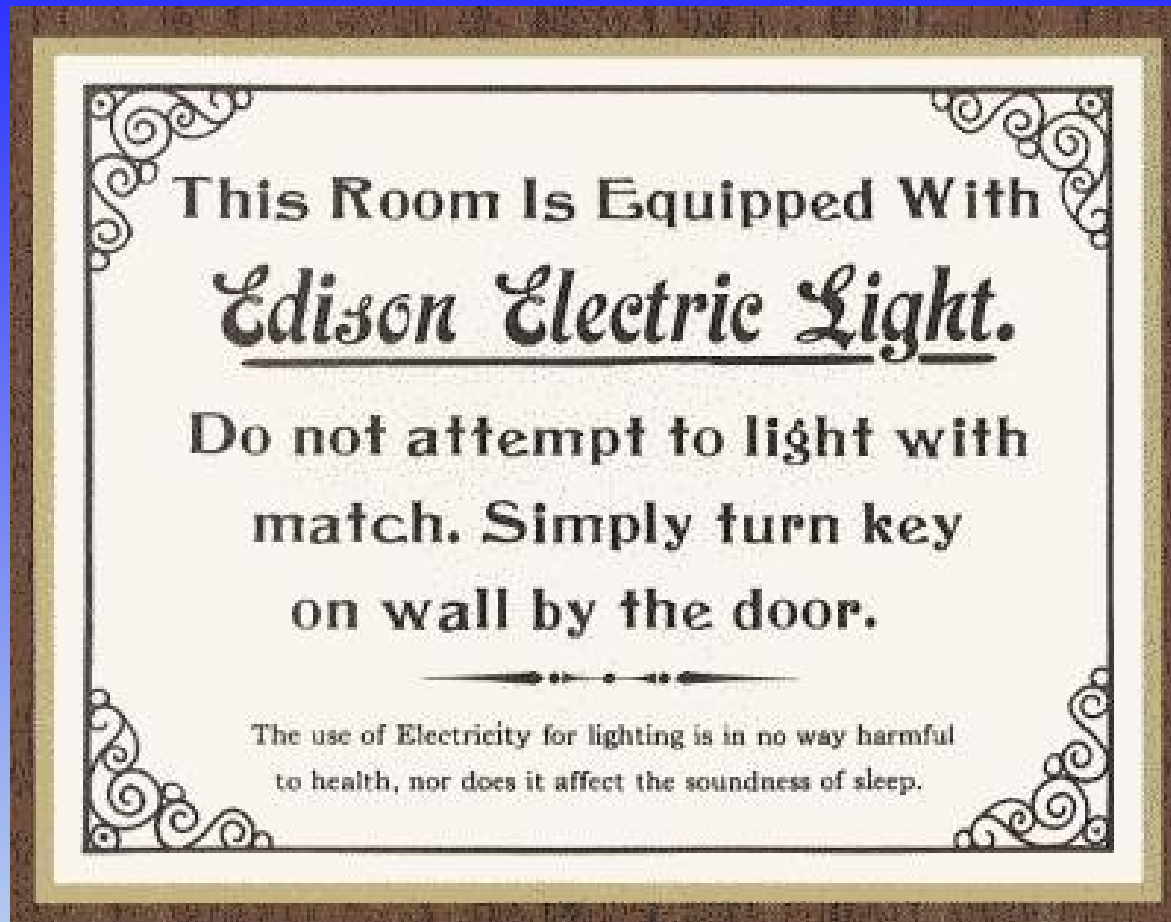
Shawn P. Keeney, Vice President (Co-author)

LED Transformations, LLC





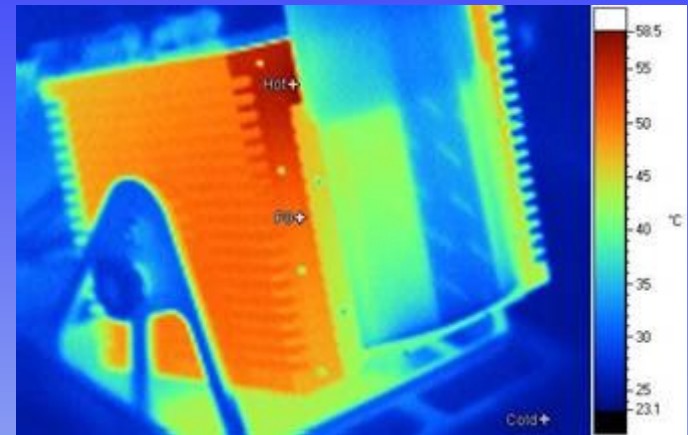
# Introduction to LEDs





# Outline

- Introduction
- Electronics Issues
- Binning Issues
- Thermal Issues
- Lifetime Issues
- System Issues
- Looking on the Bright Side
- Summary





# Introduction to LEDs

The Solid State Lighting Industry is in a major growth mode

Improvement in light output of high flux LEDs are rapidly giving rise to many new applications and products, particularly in the general illumination area

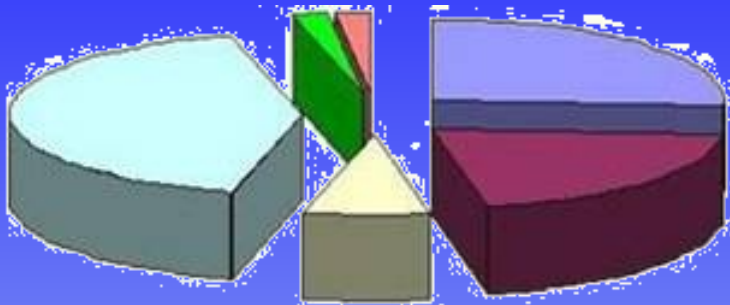
Haitz's Law: LED output increases by a factor of 20 while cost decreases by a factor of 10 every 10 years

Sales of High Power LEDs (devices) were \$330M in 2007 and are forecast to be over \$1.4B by 2012

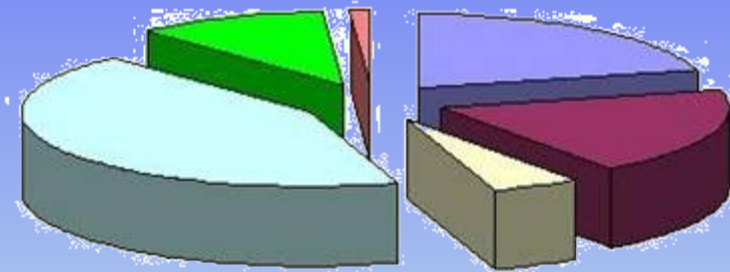


# Introduction to LEDs

## Percentages of the Lighting Market



2007



2012

Light Sources (2007 / 2012)

Other (2% / 1%)

LED (2% / 11%)

Linear Fluorescent (42% / 43%)

HID (7% / 5%)

Compact Fluorescent (21% / 19%)

Incandescent/Halide (26% / 21%)

Strategies in Light 2008



# Introduction to LEDs

LEDs are showing up in more and more applications

Color changing



Ben Franklin Bridge  
Philadelphia



Boeing 787  
Dreamliner



Architectural

# Introduction to LEDs



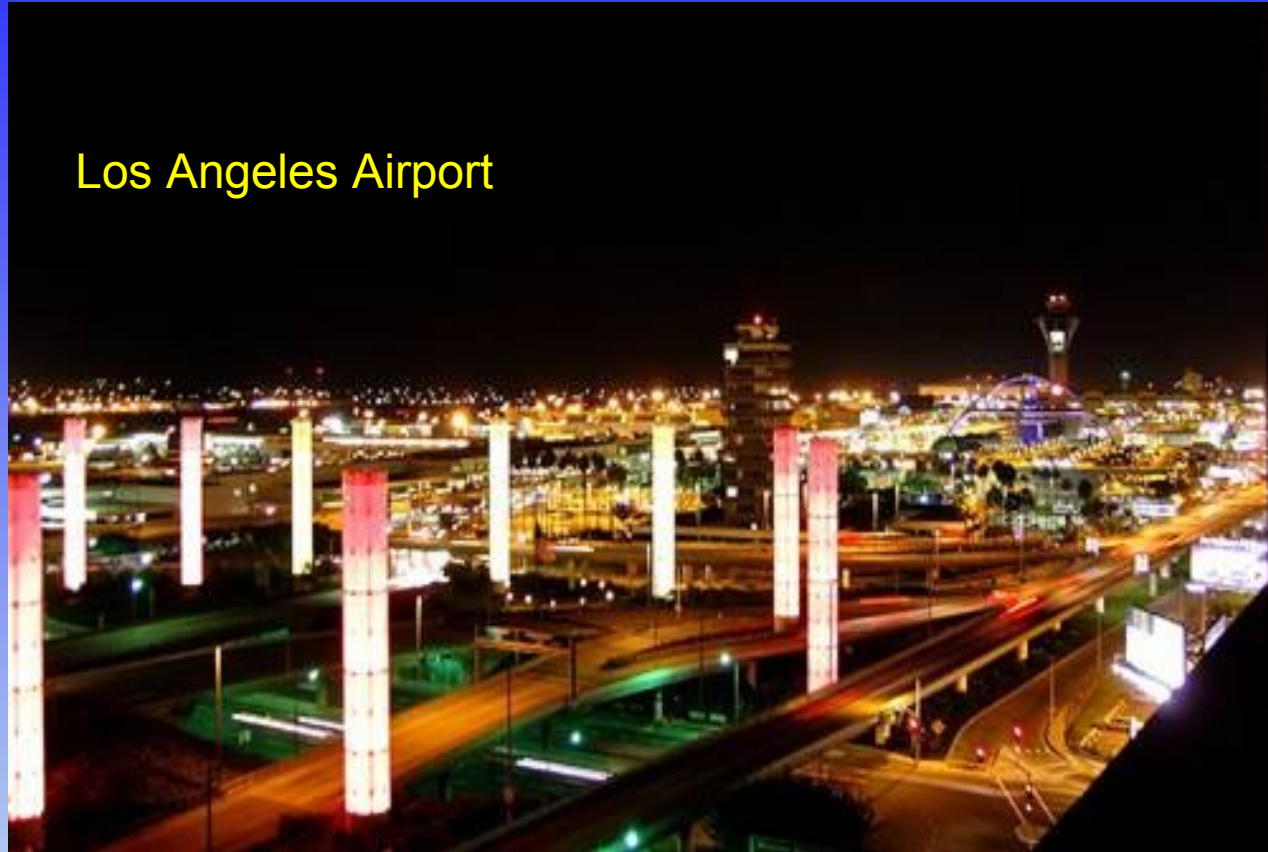
Hard Rock Hotel  
Las Vegas





# Introduction to LEDs

Los Angeles Airport





# Introduction to LEDs

## Street/Parking Area Lighting



Oakland, CA



Racine, WA

Courtesy BetaLED



# Introduction to LEDs

## A quick quiz:

LEDs are the most efficient light sources available **Maybe**

LEDs are safe because they are low voltage devices **Maybe**

LEDs don't generate any heat **No**

LEDs last forever **Depends on your definition of "forever"**

LEDs are just like other light sources (only better) **No**

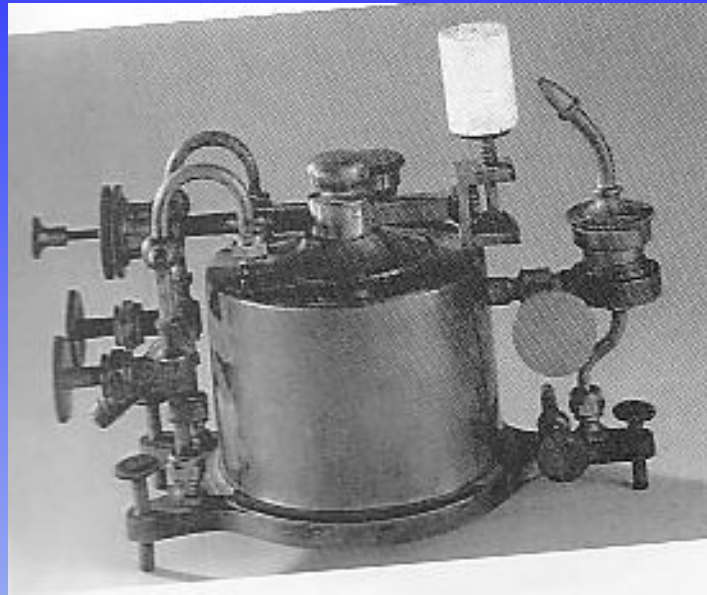
LEDs are simple to work with **No**

LEDs are a major element in the future of lighting **Definitely**



# Introduction to LEDs

Limelight - the first solid-state lighting device (introduced by Thomas Drummond in 1826)



Cylinder of lime (calcium oxide)  
which is heated to a state of dazzling brilliancy by  
the flame of the oxy-hydrogen blowpipe

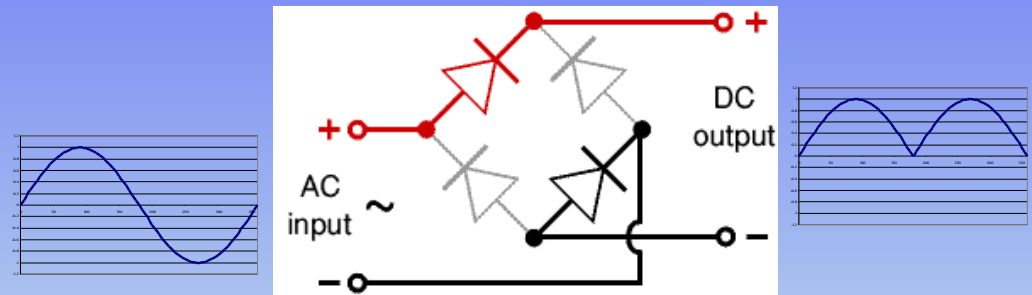
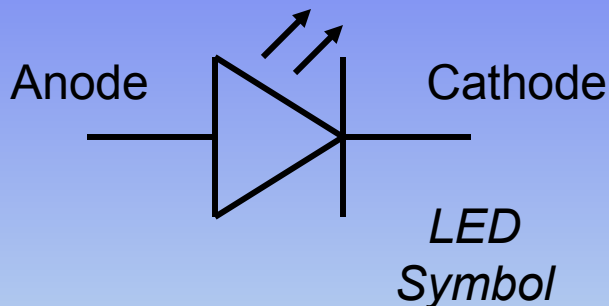


# Introduction to LEDs

## What is a diode?

A diode is a component that restricts the directional flow of charge carriers. Essentially, a diode allows an electric current to flow in one direction, but blocks it in the opposite direction. Thus, the diode can be thought of as an electronic version of a check valve. Circuits that require current flow in only one direction typically include one or more diodes in the circuit design.

Wikipedia



Diode Rectifier Bridge



# Introduction to LEDs

How do you make LEDs?

**AlInGaP**  
Red, Red-Orange  
Yellow

**AlInGaN**  
Green, Blue,  
White

Group IIIA	Group IVA	Group VA
5 <b>B</b> Boron 10.811	6 <b>C</b> Carbon 12.0107	7 <b>N</b> Nitrogen 14.006
13 <b>Al</b> Aluminum 126.981	14 <b>Si</b> Silicon 28.0955	15 <b>P</b> Phosphorus 30.973
31 <b>Ga</b> Gallium 69.723	32 <b>Ge</b> Germanium 72.61	33 <b>As</b> Arsenic 74.921
49 <b>In</b> Indium 114.818	50 <b>Sn</b> Tin 118.710	51 <b>Sb</b> Antimony 121.760

## Substrates

Silicon Carbide (SiC)  
Sapphire ( $\text{Al}_2\text{O}_3$ )



Base Elements
P-Type Dopants
N-Type Dopants



# Introduction to LEDs

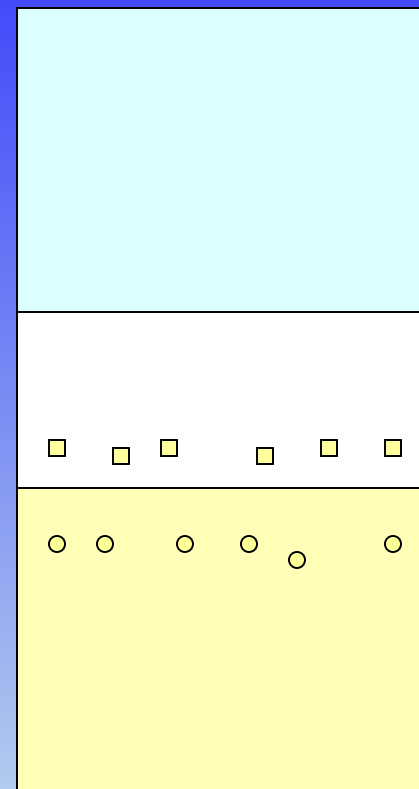
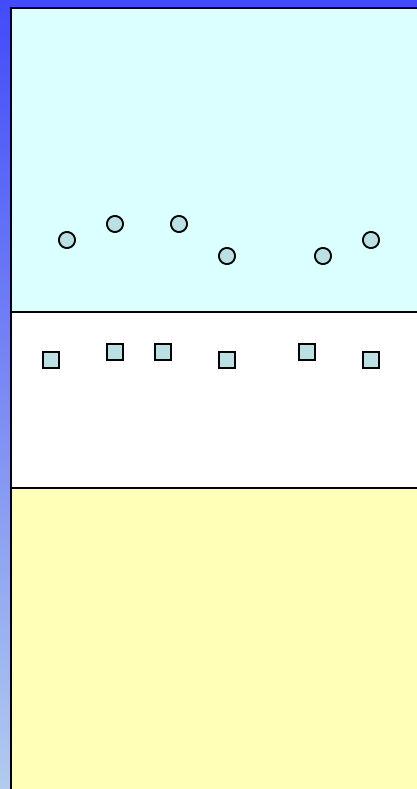
## Physics of a diode

N Type

P Type

Free electrons

Donor atoms



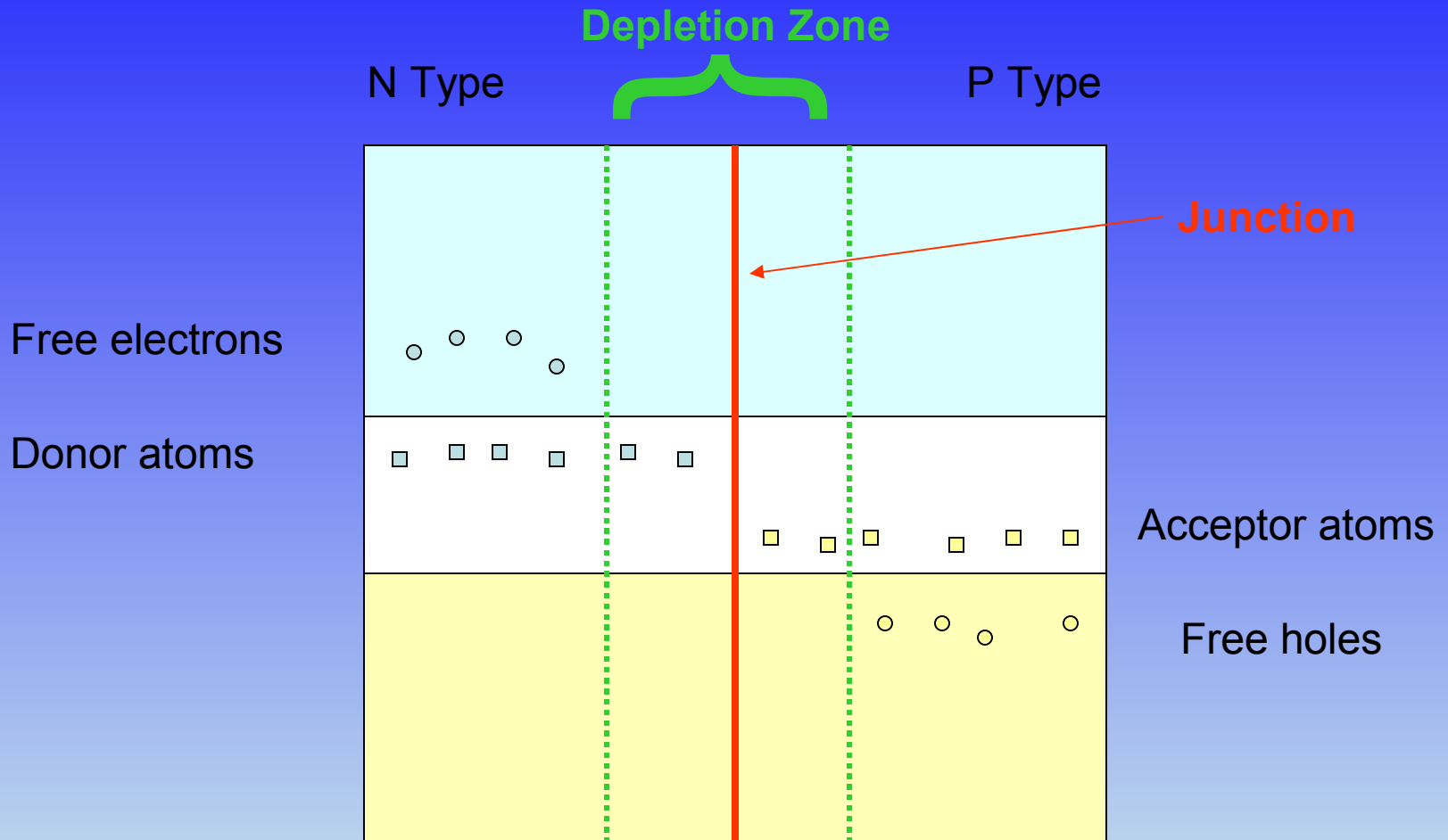
Acceptor atoms

Free holes



# Introduction to LEDs

## Physics of a diode (cont'd)





# Introduction to LEDs

## Some LED Milestones



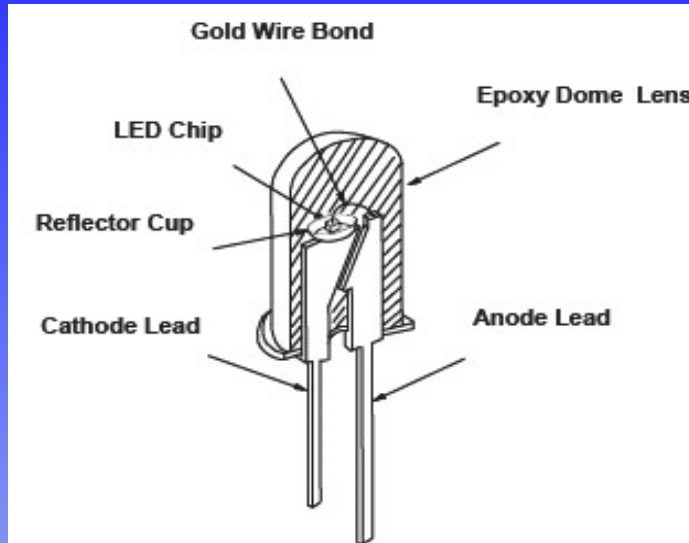
1962	First LED (Holonyak at GE)	0.001 lumens
1960's	Red LEDs (HP & Monsanto)	0.01 lumens
1970's	First consumer products - Watches, calculators	
1980's	Green LEDs	0.1 lumens
1990's	Blue LEDs (Nakamura at Nichia)	1 lumen
2000's	High flux packages	100 lumens





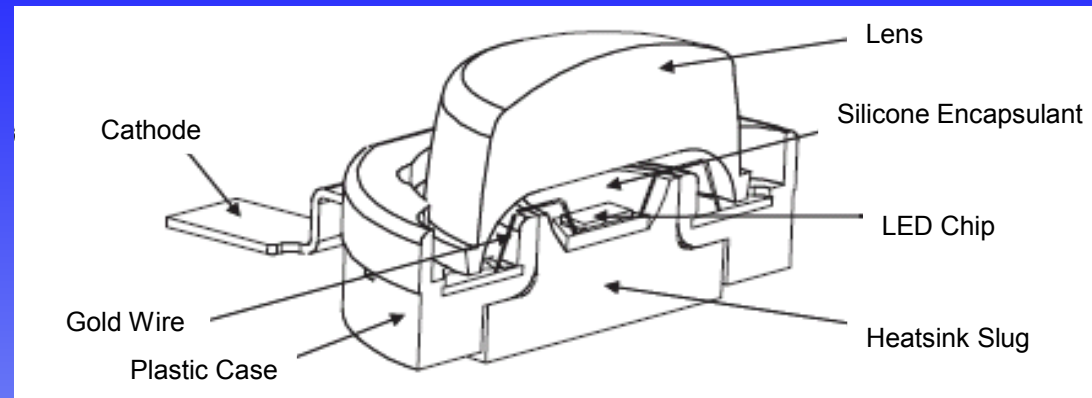
# Introduction to LEDs

## Types of LEDs



**Typical construction  
for a 5mm LED**

Typical Flux = 3 lm  
Number of LEDs to equal the  
output of a 60W incandescent  
light bulb = 200



**Typical  
construction for a  
High Flux LED**

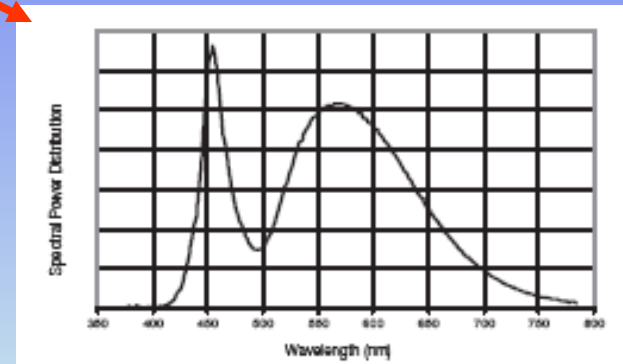
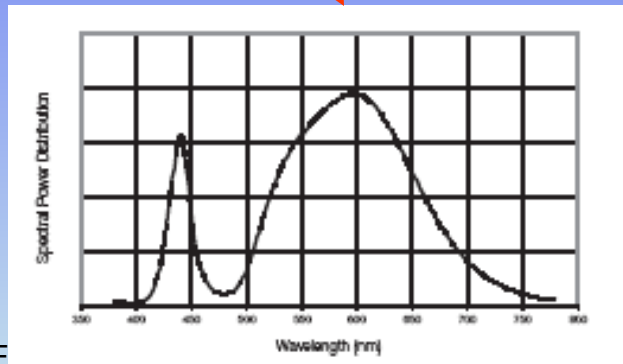
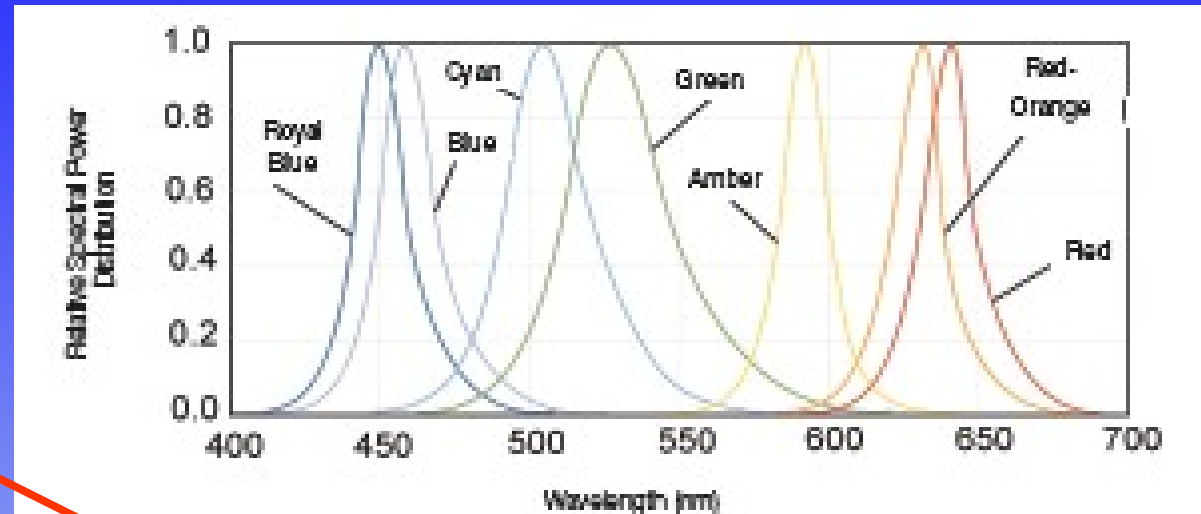
Typical Flux > 70 lm  
Number of LEDs to equal the  
output of a 60W incandescent  
light bulb < 12



# Introduction to LEDs

Two Types based on color

- Monochromatic
- White
  - Cool
  - Neutral
  - Warm





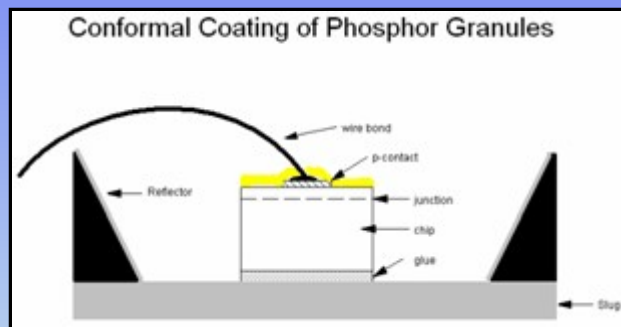
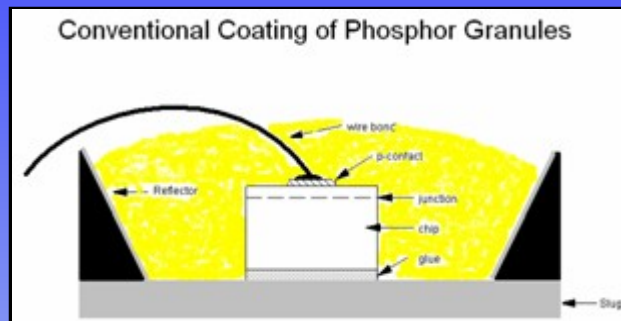
# Introduction to LEDs

## White LEDs—Phosphor Methods

### Visible LED Pump + Phosphor Method

Blue LED + YAG (Yttrium-Aluminum-Garnet) **Cool White**

Blue LED + YAG + Other phosphor (red, green, etc.) **Warm White**

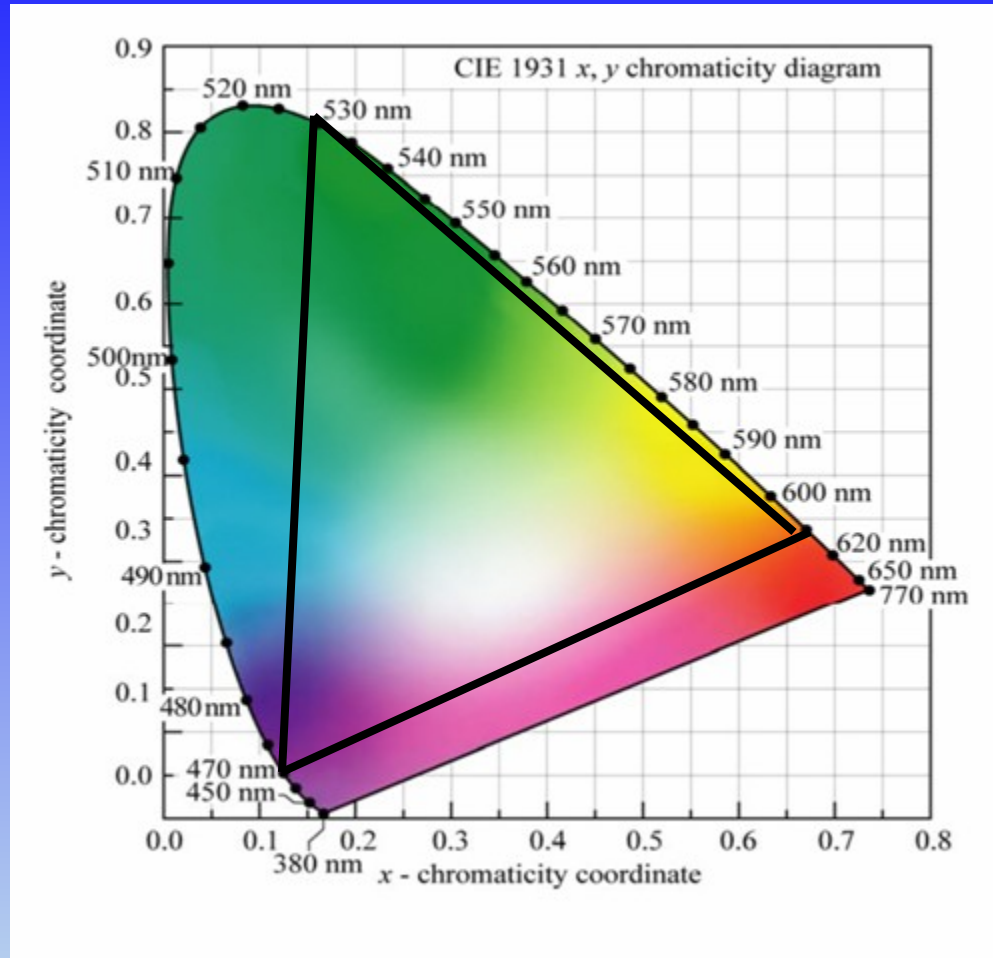


Light source is smaller and often allows for a smaller secondary optic



# Introduction to LEDs

## RGB Method of Creating White

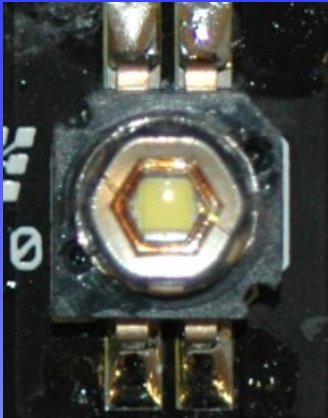




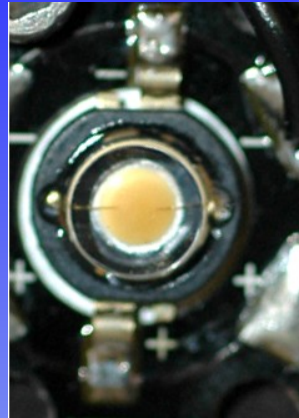
# Introduction to LEDs

## White LEDs

Lumileds



Lumileds



Seoul Semiconductor



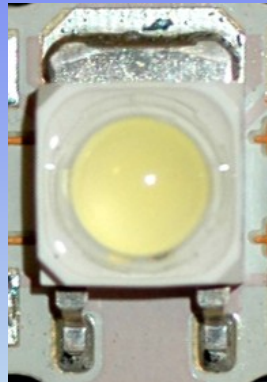
Osram



Cree



Nichia



Lumileds  
Rebel





# Introduction to LEDs

How do LEDs compare with other sources?

## Efficacy of Various Light Sources

Light Type	Data Sheet lm/W	Usable* lm/W	Lifetime (hrs)
Incandescent	17	10-17	3k
Halogen	20	12-20	10k
T12 fluorescent	60	40-50	20k
Metal halide	65-70	35-40	10k-20k
T8 fluorescent	85-90	65-70	20-30k
T5 fluorescent	90	62	30k
High-pressure sodium	95-110	55-65	24k
<b>Best-in-Class Power LED</b>	<b>99</b>	<b>65-75</b>	<b>&gt; 50k</b>
Low-pressure sodium	120-140	65-75	16k

\* Typical expected performance in real-life applications. Based on mean lumens, and including ballast/driver, thermal equilibrium, and typical fixture Coefficient of Utilization losses.

Figure courtesy Mark McClear, Cree



# Introduction to LEDs

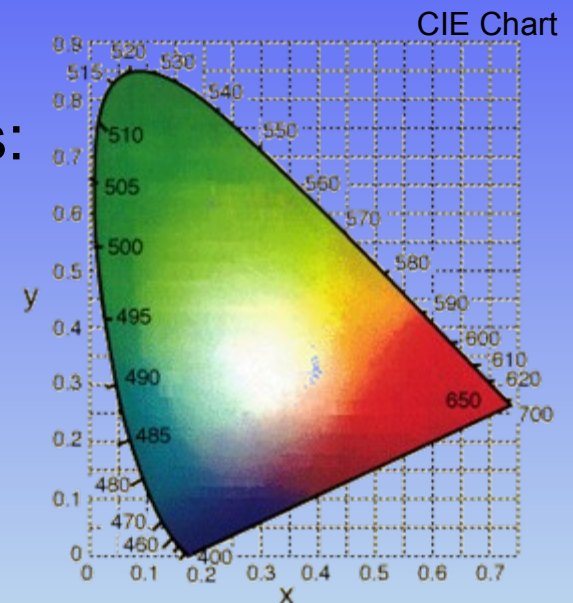
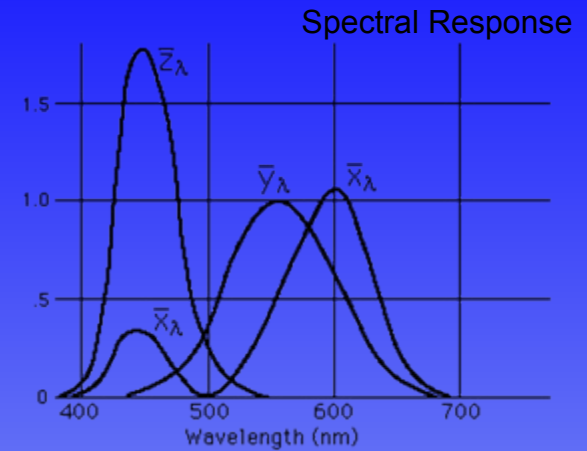
$\bar{X}$ ,  $\bar{Y}$  and  $\bar{Z}$  are the spectral response curves for the three different cone receptors in the eye. If the eye response to a color stimulus is given by  $X$ ,  $Y$  and  $Z$ , we can define a color coordinate system as the relative stimulus given by the following equations:

$$X = \frac{X}{X + Y + Z}$$

$$y = \frac{Y}{X + Y + Z}$$

$$Z = \frac{Z}{X + Y + Z}$$

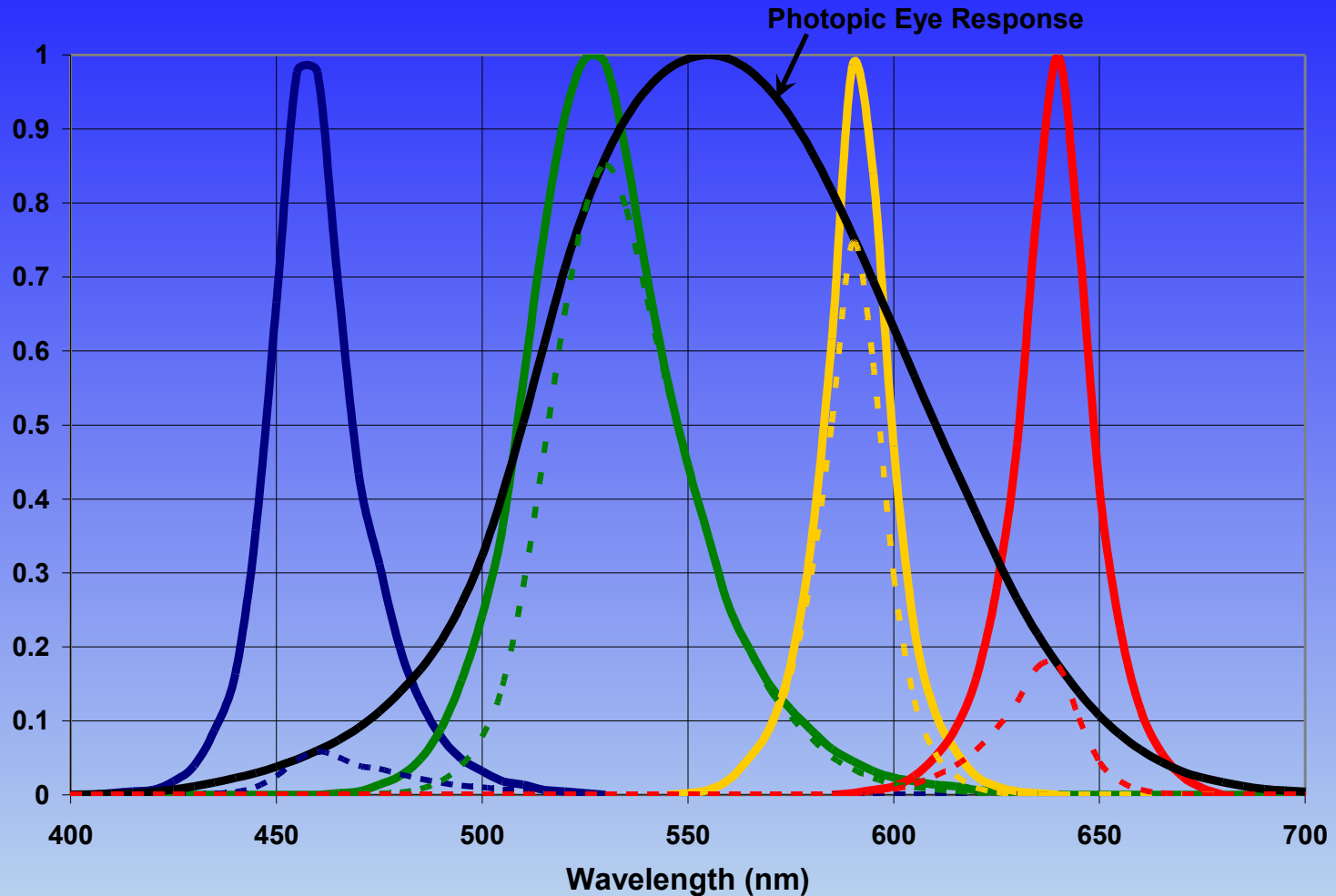
With  $X + Y + Z = 1$  by definition only two coordinates are necessary to define a color.





# Introduction to LEDs

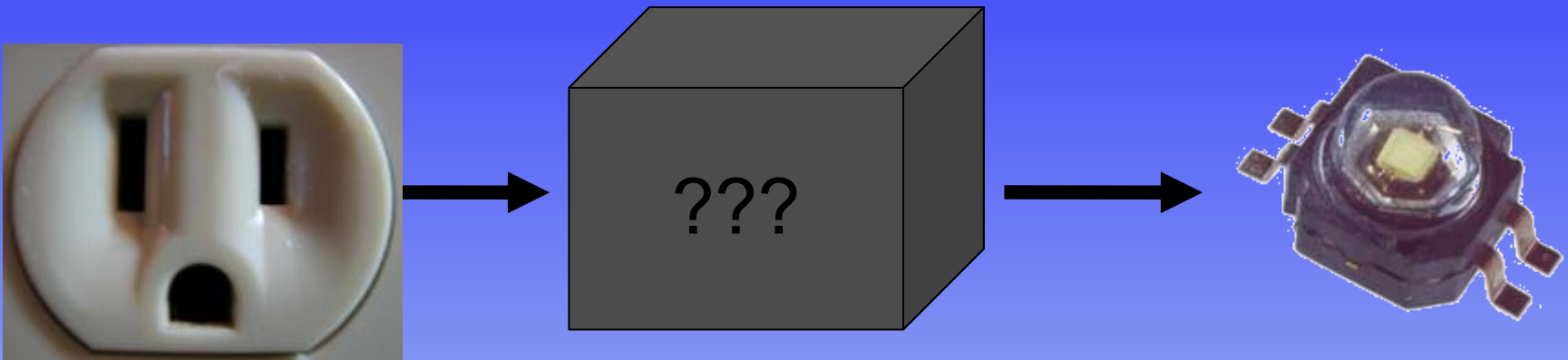
## Radiometric Flux to Luminous Flux





# Electronics Issues

## Power Supplies / Drivers



**Inside the black box**



# Electronics Issues

## LED drivers (power supplies)

- Why do we need drivers (power supplies) in the first place?
  - LEDs are non-linear devices ( $V_f$  vs.  $I_f$ ) and typically require constant current sources
  - Incandescent light bulbs are purely resistive loads with  $PF = 1$
  - Drivers usually incorporate circuitry to produce PF's close to 1
  - Drivers also need to control harmonic current effects on the mains
    - ATHD regulated in many countries
- Life
  - LED life versus driver life
  - Elements which limit driver lifetime
    - Electrolytic capacitors—aging due to drying out of electrolytic
    - FETs, rectifiers, etc. which are stressed by heat and vibration
    - Other components affected by heat, moisture, environment
- Agency Approvals
  - Confusion as to how to list products
  - The light engine
- Size
  - Drivers equivalent to fluorescent ballasts



# Electronics Issues

## Choosing a LED Driver

- Custom or off-the-shelf?
  - How many LEDs? Functional requirements?
- AC/DC or DC/DC?
  - What is the input source?
- Simple driver: resistor in series
  - OK for low power applications
- Linear regulator
  - e.g. LM317 regulator IC
- Switch mode power supply (SMPS)
  - Buck, Boost, Buck-Boost, Flyback, SEPIC, etc.
- Constant current with feedback
  - Closed loop system gives best performance



# Electronics Issues

## Components Affected by Heat

- LEDs Typical maximum junction temperatures of 125°C
  - Implies external maximum temperatures of 105°C (based on thermal resistance of the LED package)
- FETs Typical maximum junction temperatures of 125°C
- Capacitors Values can change by 10-20% or more as temperatures increase and drift as the component ages
- Large ceramic capacitors are sensitive to mechanical stresses which can cause failures



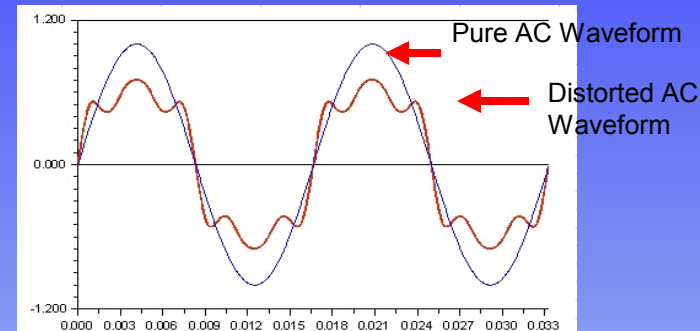
# Electronics Issues

## Efficiency

- Typical efficiencies range from 75% to 90% for SMPS
- Losses due to switching, resistances, transformers, etc.
- Poor power factor results in excess energy use

$$PF = \frac{\text{Watts}}{\text{Volts} \times \text{Amperes}} \leq 1$$

- LEDs are non-linear loads which create distortion on the supply line
- Driver should not draw power if load is not on (Energy Star requirement)
- Efficiency as a function of load power
  - 90% at full power
  - <70% efficiency at partial power





# Electronics Issues

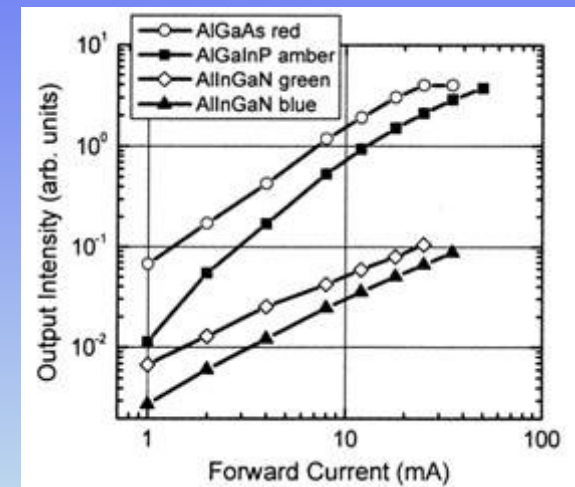
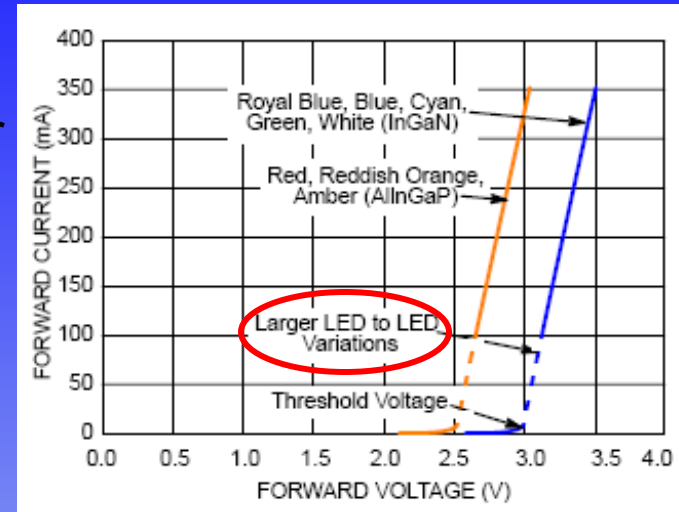
## Forward Voltage

- Forward voltage  $V_f$  is roughly equal to the bandgap energy of the LED semiconductor divided by the elementary charge

$$V_f = E_g / q$$

where  $q = 1.6 \times 10^{-19}$  coulombs

- Output intensity of typical high brightness LEDs depends on the forward current  $I_f$
- Forward voltages of parallel strings must be balanced



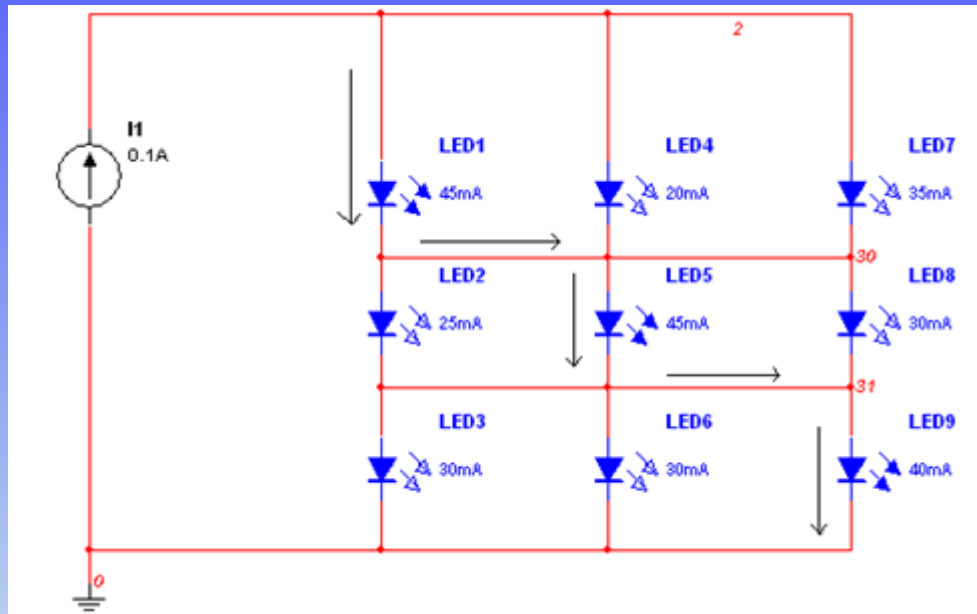


# Electronics Issues

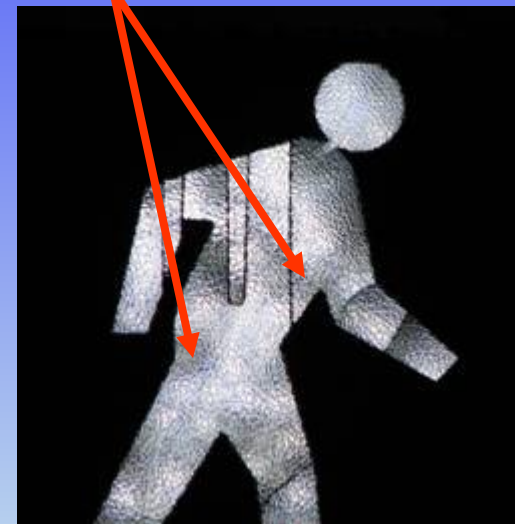
## “Current Hogging”

**Branch paths are linked to minimize effect of open LEDs**

Potential of too much current being drawn through remaining branches resulting in those branches failing prematurely due to higher currents



Dark spots caused by “current hogging”





# Electronics Issues

- UL has until recently looked at LED lights under the 1598 Luminaire Standard
- UL has recently introduced a new approach for LED (Outline of Investigation—Introduced January 2007)
  - 8750 LED Light Sources for Use in Lighting
- Power supplies are listed under either
  - 2108 Low Voltage Lighting Systems
  - 1012 Power Units Other Than Class 2
  - 1310 Class 2 Power Units



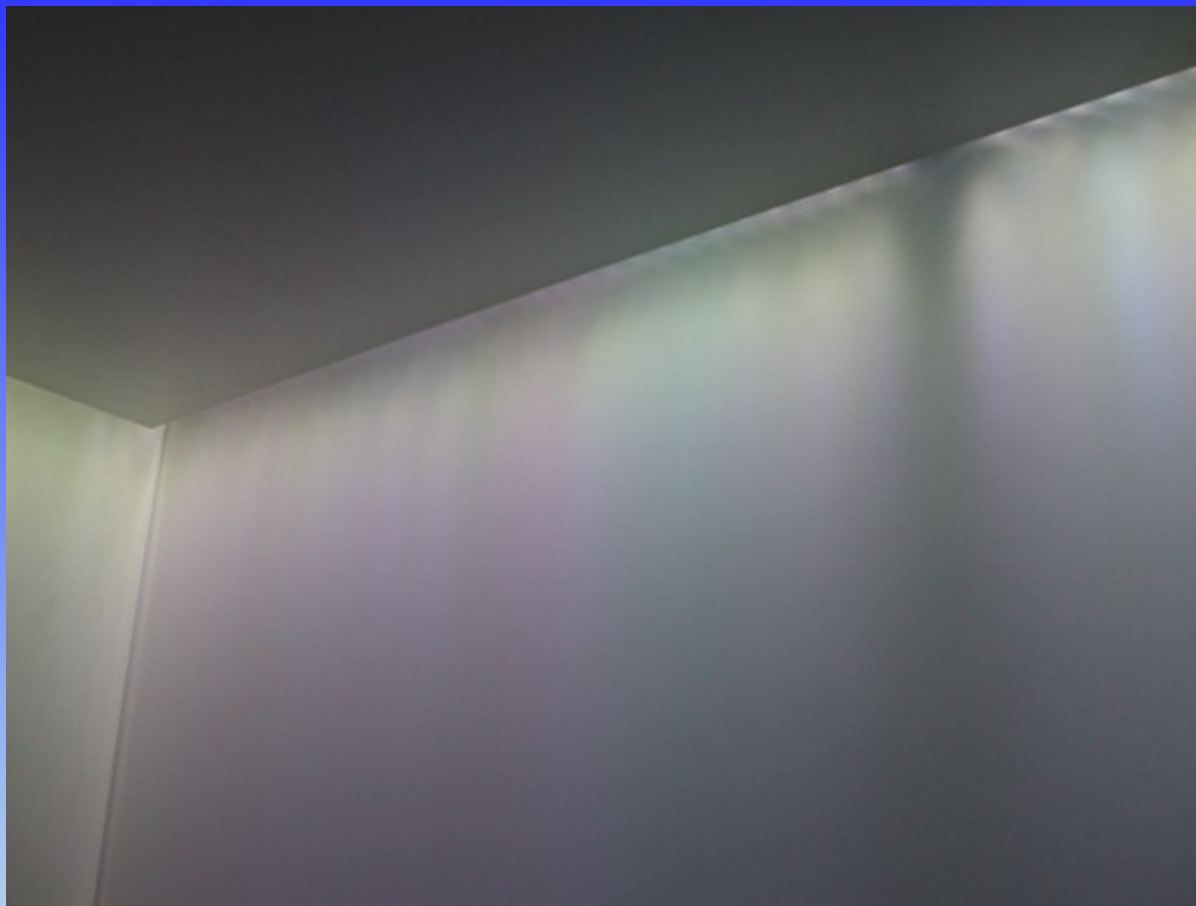
# Electronics Issues

- EN60598--Generic Luminaire standard - split further into 30 sub-sections – e.g. roadway light, stage light, etc.
- EN55015--EMC emission limits are higher for lighting products, additional requirement to test for low frequency magnetic fields; other standards for EMC susceptibility - flicker, brownouts, ESD, etc.
- EN 61000-3-2--Harmonics and power factor
- EN60825 (Class 2)—Laser Standard under which LEDs presently fall; expected to be revised for LEDs - the deep blue LEDs have most cause for concern when used with narrow beam optics
- EN61347—control equipment; split into sections for types of control gear - new section in draft for LED control equipment



# Binning Issues

## Binning for Flux and Color:





# Binning Issues

## Variation in Flux, Vf for various Cool White LEDs (as of 2006)

	Dice #	Viewing Angle Degees	Typical Lumens					Typical Current mA	Typical Voltage Vf	Typical Power W	Thermal Resistance °C/W	Rise LED Only °C	Max Junction °C	CRI
			350 mA	500 mA	700 mA	1000 mA	1400 mA							
Osram LW W5SG Cool	1	120	21-39	41				350	3.8	1.3	9	12	125	80
Osram ZW W5SG Cool	1	120	33-71	60				350	3.2	1.1	15	17	125	80
Osram LW W5SN Cool	1	120			52-97			700	3.8	2.7	8	21	135	80
Osram LW W5SM Cool	1	120		64				350	3.2	1.1	15	17	125	80
Osram LEW E3A Cool	6	120			300			700	22.5	15.8	3.6	57	150	80
Osram LEW E3B Cool	6	120			420			700	22.5	15.8	3.6	57	125	80
Osram LEW E2A Cool	4	120			200			700	15	10.5	5	53	150	80
Osram LEW E2B Cool	4	120			280			700	15	10.5	5	53	125	80
Lumileds LXHL-PW01 Cool	1	120	45					350	3.42	1.2	15	18	135	70
Lumileds LXHL-DW01 Cool	1	Side-emit	40.5					350	3.42	1.2	15	18	135	70
Lumileds LXHL-BW02 Cool	1	Batwing	45					350	3.42	1.2	15	18	135	70
Lumileds LXHL-PW09 Cool	1	120			65	80		700	3.7	2.6	13	34	135	70
Lumileds LXHL-DW09 Cool	1	Side-emit			58	70		700	3.7	2.6	13	34	135	70
Lumileds LXHL-PW03 Cool	4	150			87.4			700	6.84	4.8	8	38	135	70
Lumileds LXK2-PW etc Cool	1	150	52.5		87.5	110	135	1000	3.72	3.7	9	33	150	70
Nichia NCCW002, etc Cool	1	35,70,120	42	?				350	3.7	1.3	17	22	105	80
Nichia NS6W083 Cool	4	120	60	?				350	3.6	1.3	10	13	120	80
Cree XL7090 Cool	1	100	52		?			350	3.5	1.2	17	21	125	75
Cree 3XL7090 Cool	1	100			76			700	4	2.8	17	48	145	75
Cree XL7090XR Cool	1	100	53.5		?			350	3.5	1.2	8	10	145	75
Seoul Semi W10190 Cool	1	70,110	52					350	3.5	1.2	8	10	125	70
Seoul Semi W10290 Cool	2	70,110			103			700	3.5	2.5	6	15	125	70
Seoul Semi W10490 Cool	4	70,110				178		1400	3.5	4.9	4	20	125	70
Cotco LD-700AWN1-70 Cool	1	70	27					350	3.6	1.3	15	19	125	

Source: Curran & Peck—Comparison of White LEDs, Lightfair 2006



# Binning Issues

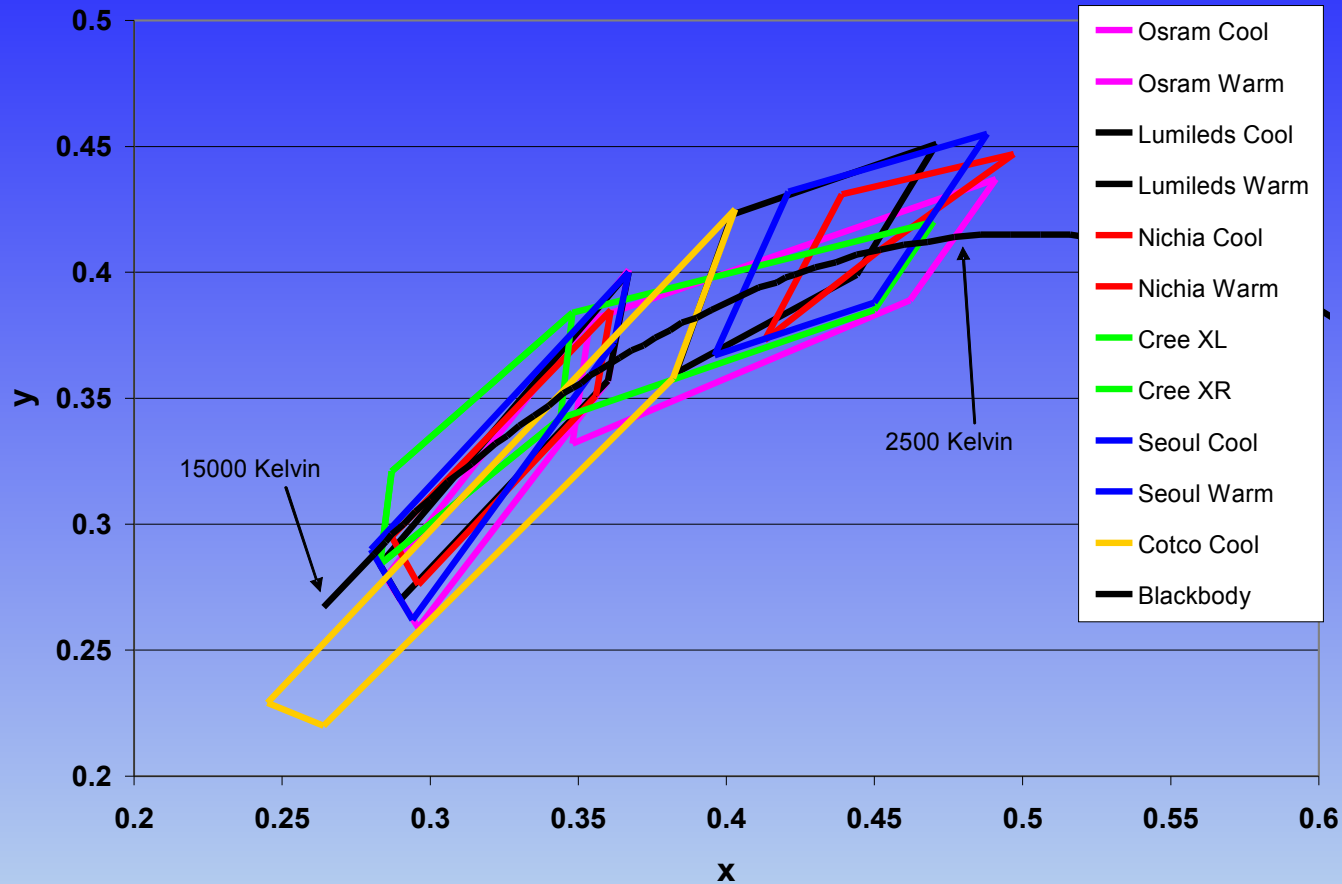
Flux Choices from one manufacturer

Group Code	Min. Luminous Flux @ 350 mA (lm)	Max. Luminous Flux @ 350 mA (lm)
M2	39.8	45.7
M3	45.7	51.7
N2	51.7	56.8
N3	56.8	62.0
N4	62.0	67.2
P2	67.2	73.9
P3	73.9	80.6
P4	80.6	87.4
Q2	87.4	93.9
Q3	93.9	100
Q4	100	107
Q5	107	114



# Binning Issues

## Color bins from various LED manufacturers

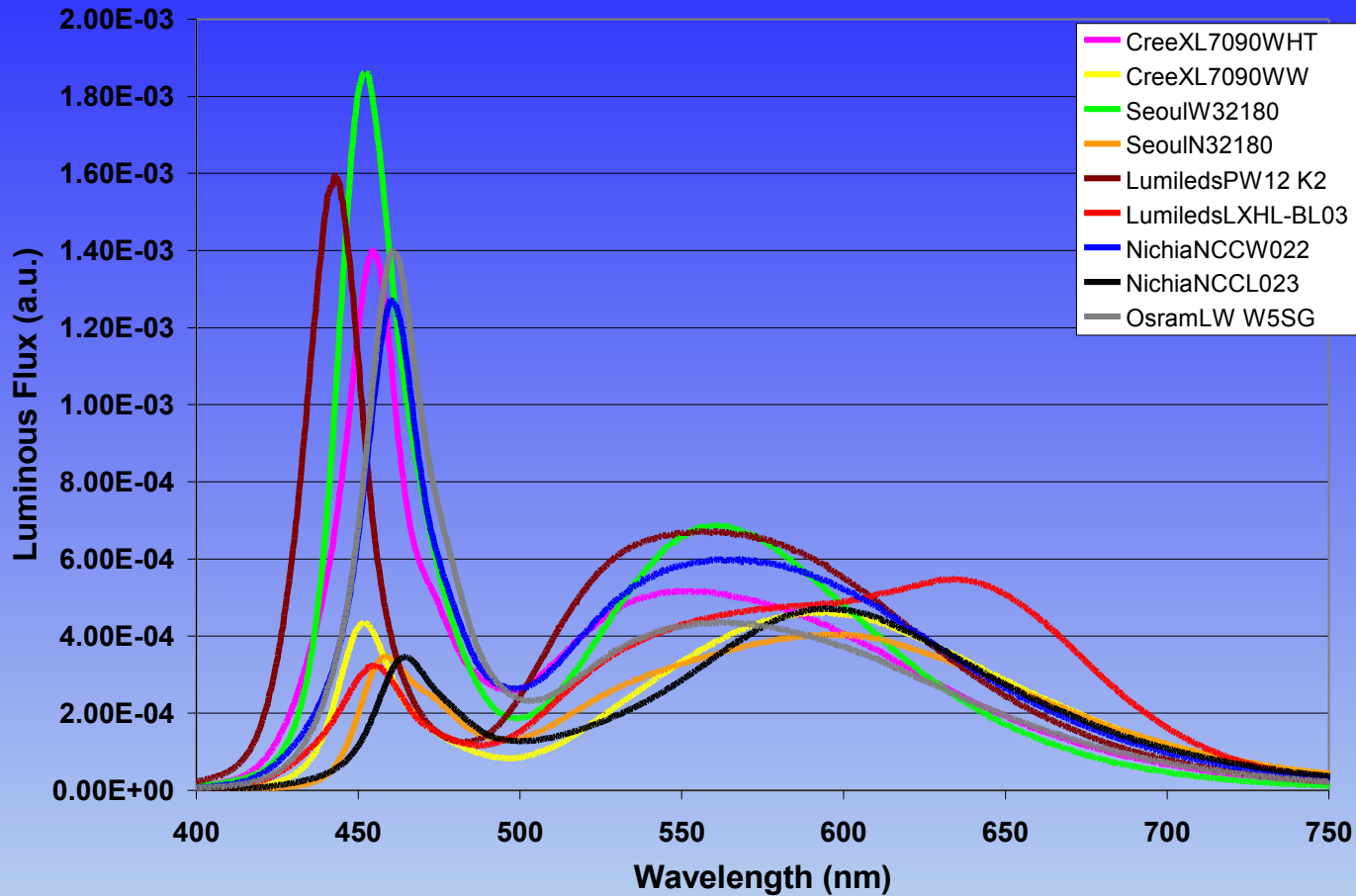


Source: Curran & Peck—Comparison of White LEDs, Lightfair 2006



# Binning Issues

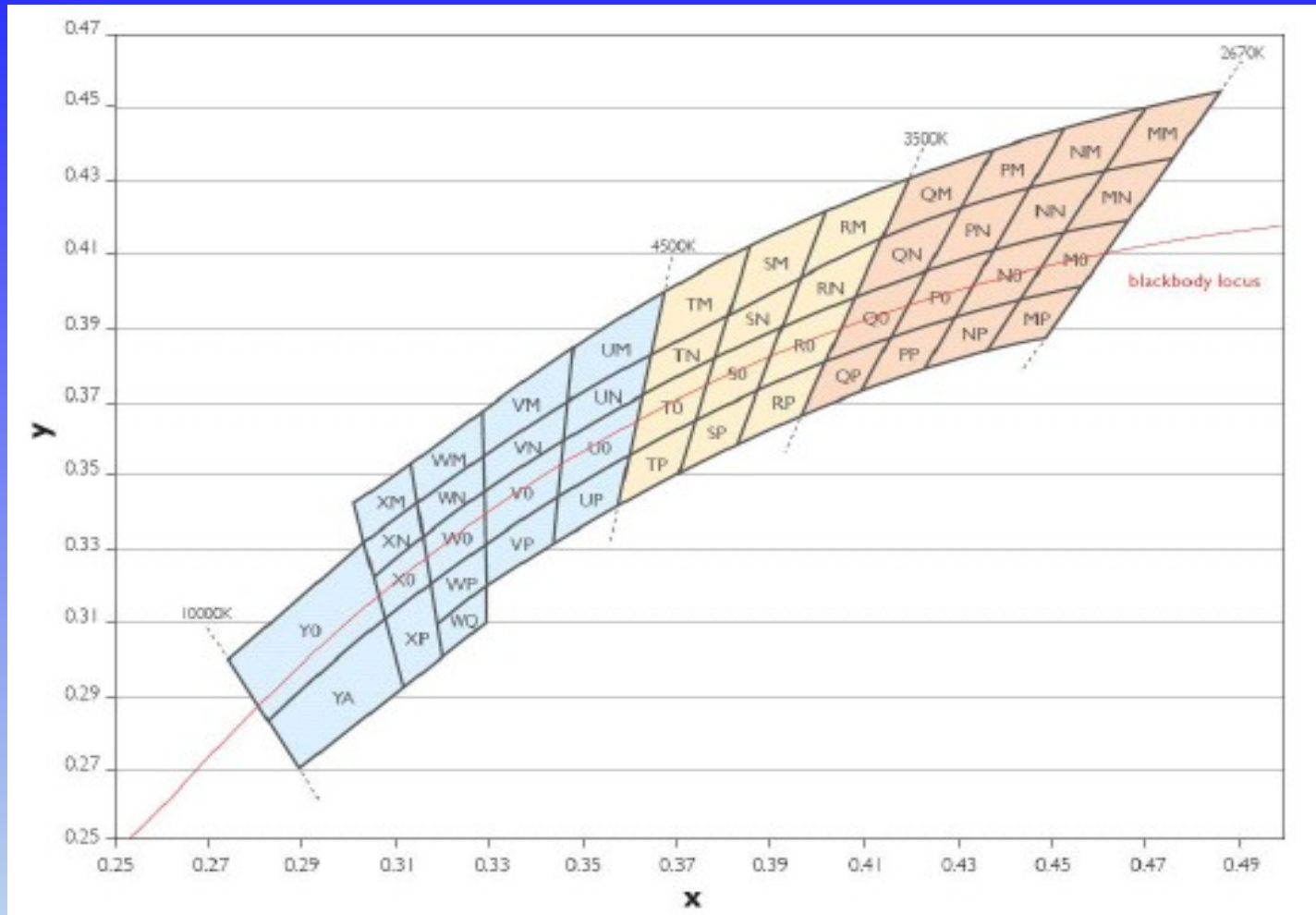
## Spectra for various white LEDs





# Binning Issues

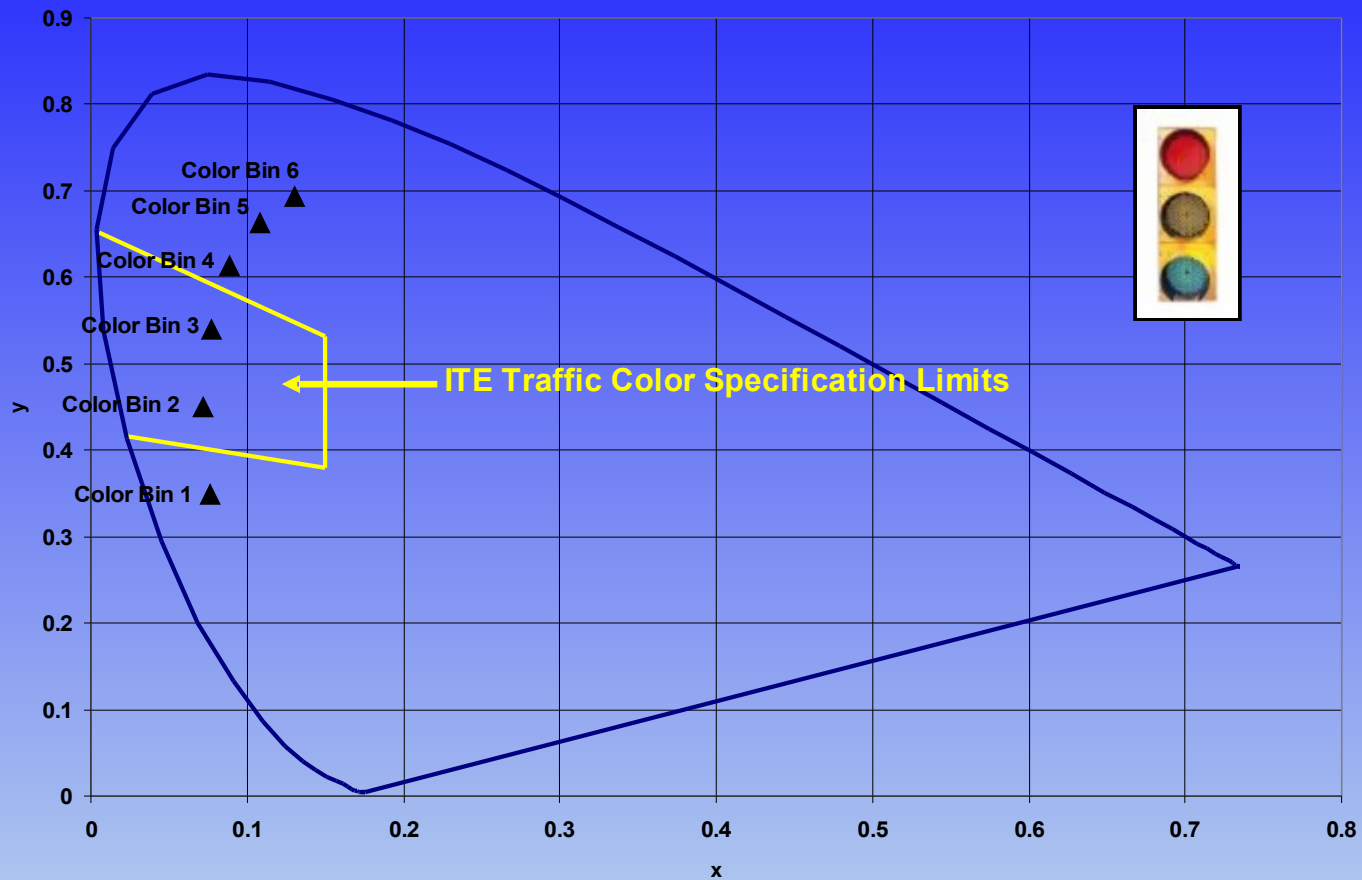
Example from one LED manufacturer—47 bins!





# Binning Issues

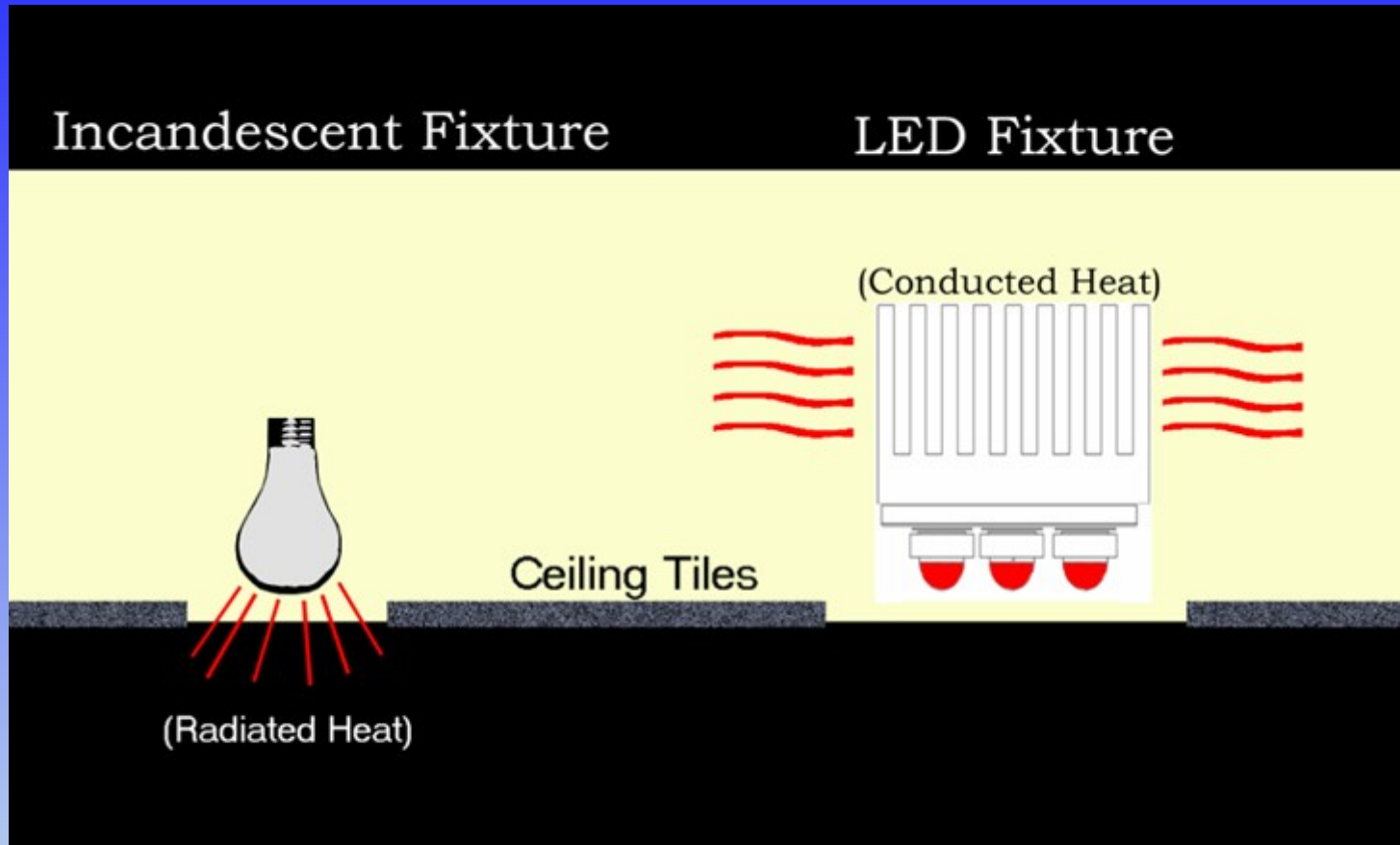
Cyan Chromaticity Spec Correlation With Color bins





# Thermal Issues

Why is it a problem?





# Thermal Issues

Source	Efficacy (lm/W)	Heat Loss (%)		
		Radiation	Convection	Conduction
Incandescent	15	90	5	5
Fluorescent	90	40	40	20
HID	100	90	5	5
<b>LED</b>	<b>75</b>	<b>5</b>	<b>5</b>	<b>90</b>

A fixture using a 60W incandescent light bulb will produce about 900 lumens of light. The fixture will need to dissipate 3W of heat via conduction ( $60W \times 0.05 = 3W$ ).

A fixture using LEDs as the light source would require 12 LEDs to achieve the same 900 lumens of light ( $900/75 = 12$ ). The input power to the fixture would be (assuming a  $V_f$  of 3.2V and current of 350mamps)

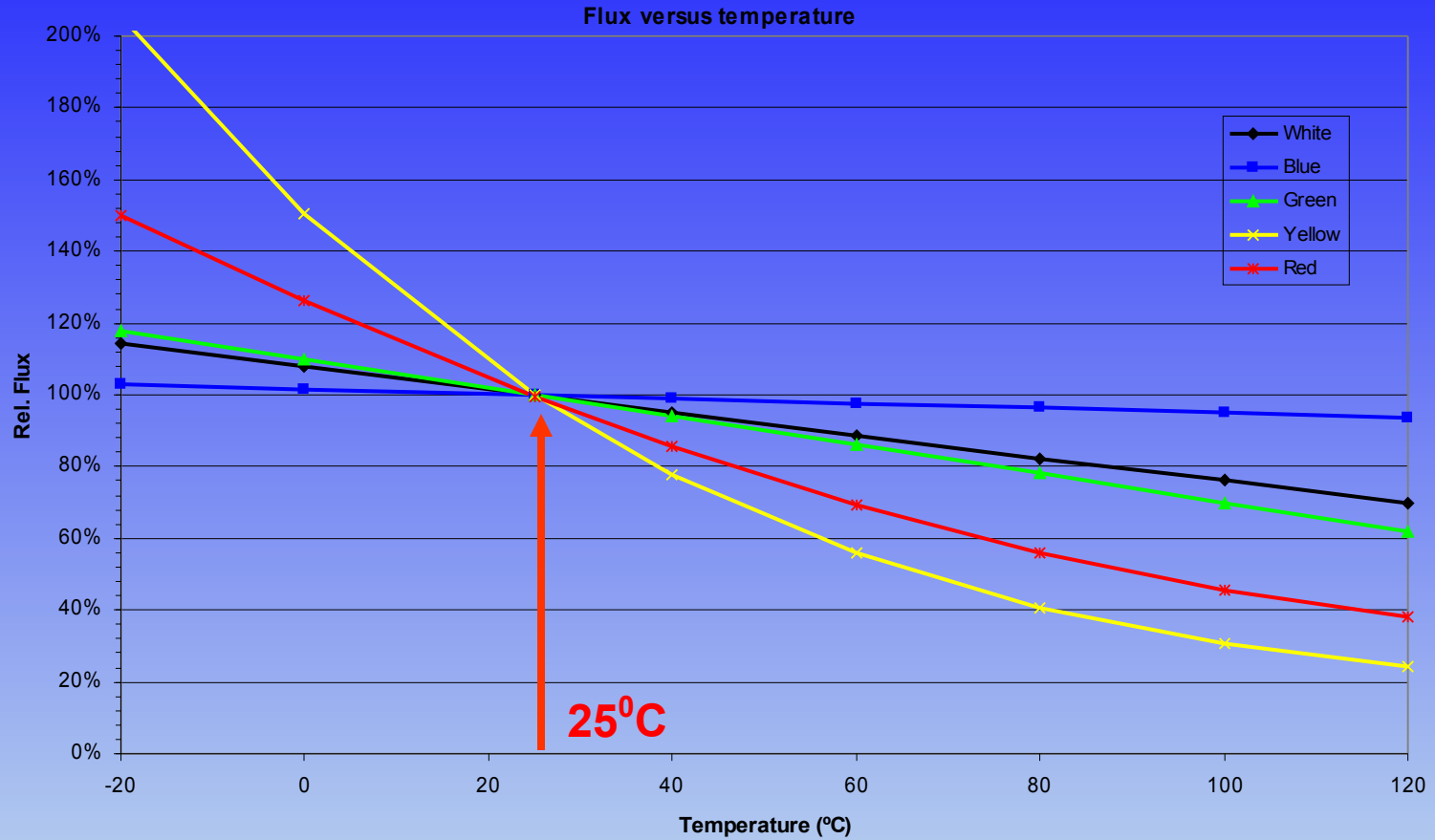
$$\text{Power} = 12 \times 3.2V \times 0.350\text{amp} = 13.4W$$

The fixture would need to conduct 90% of that heat or approximately 12.1W (about 4 times the heat of the incandescent bulb).



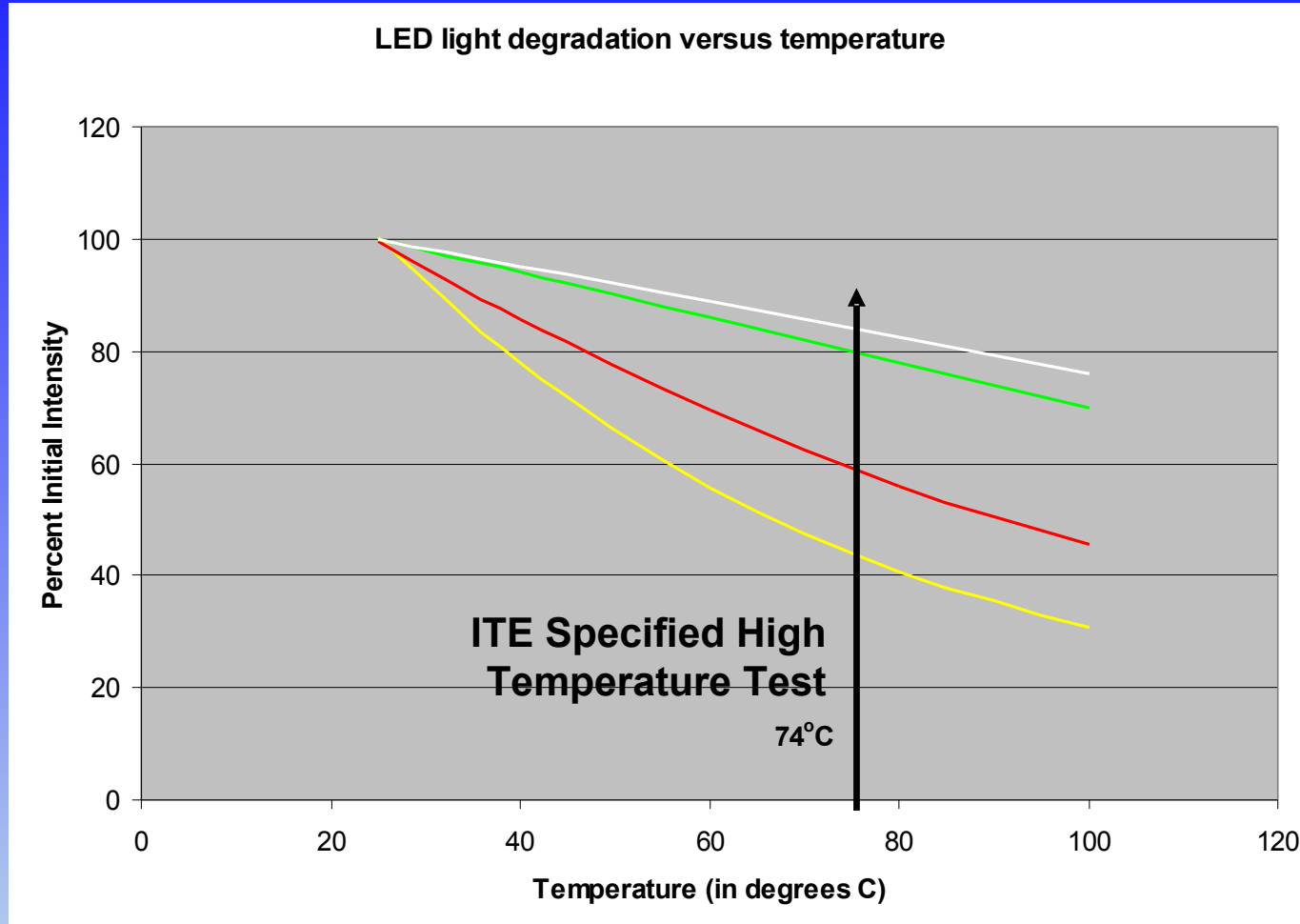
# Thermal Issues

## Effect on Light Output





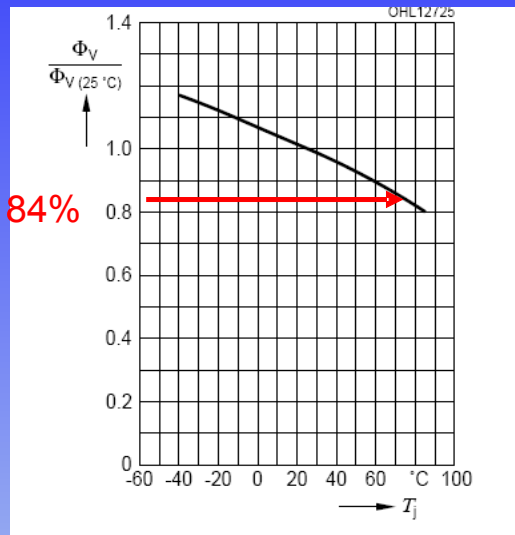
# Thermal Issues



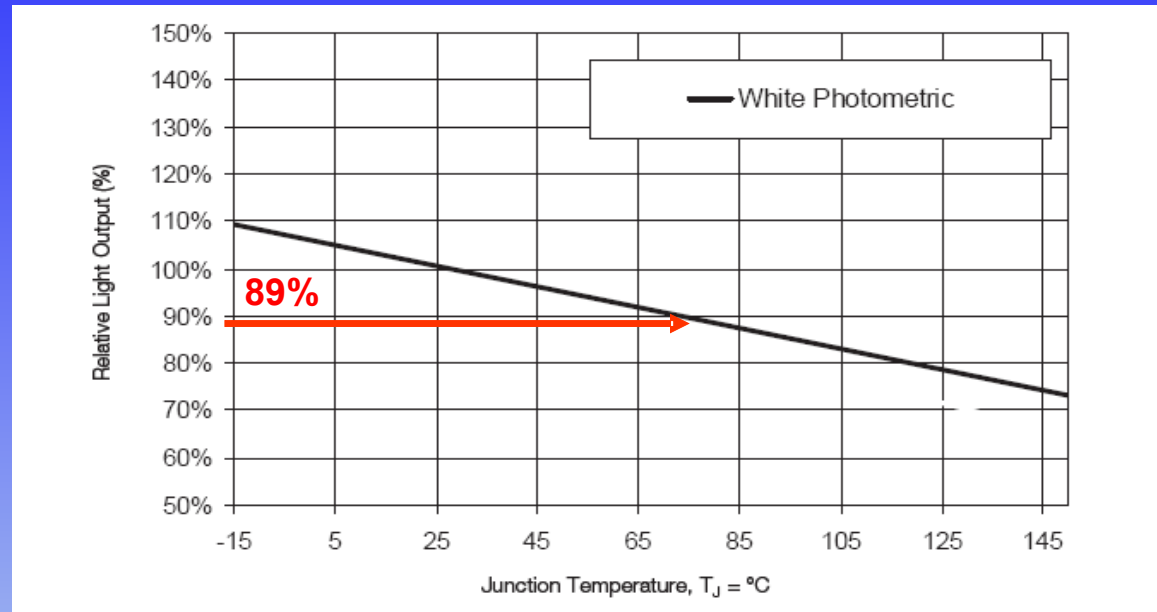


# Thermal Issues

Examples of light output reduction due to increased LED junction temperature



**Osram Golden Dragon**



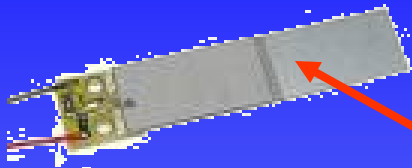
**Lumileds K2**

Source: Osram/Lumileds data sheets

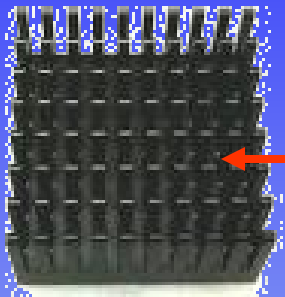


# Thermal Issues

Devices to help dissipate heat



Heat Spreader



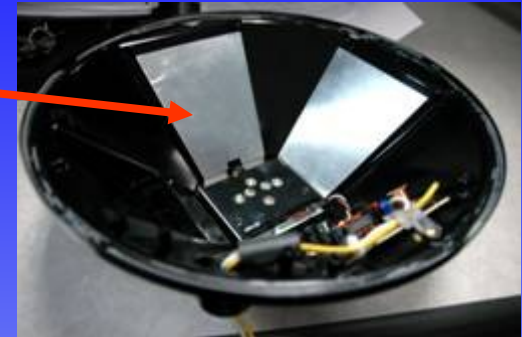
Piezo fan

Heat Sink

Heat pipes



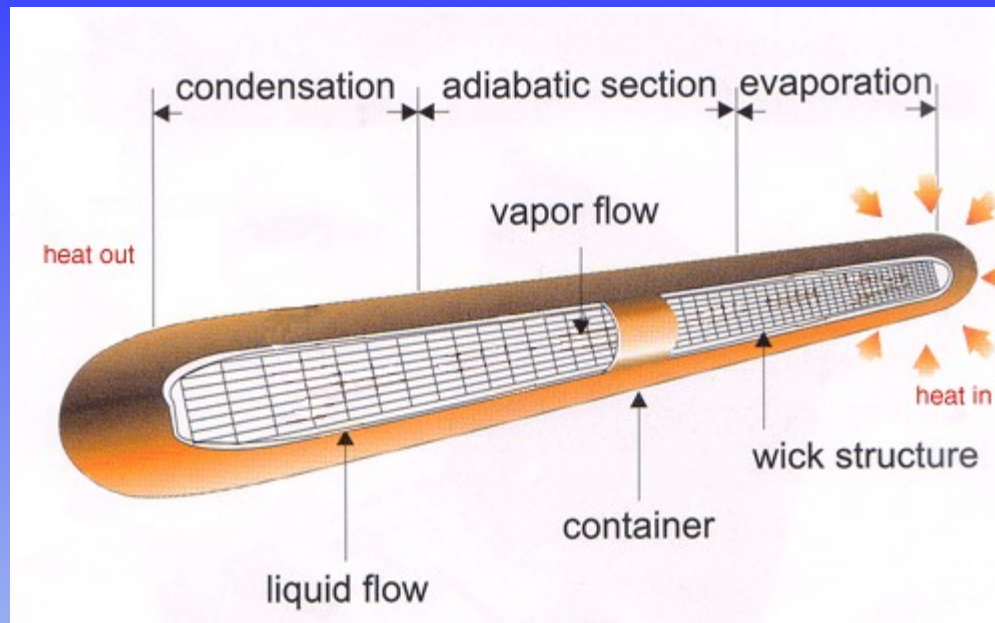
Thermo-electric Cooler





# Thermal Issues

## How a Heat Pipe Works

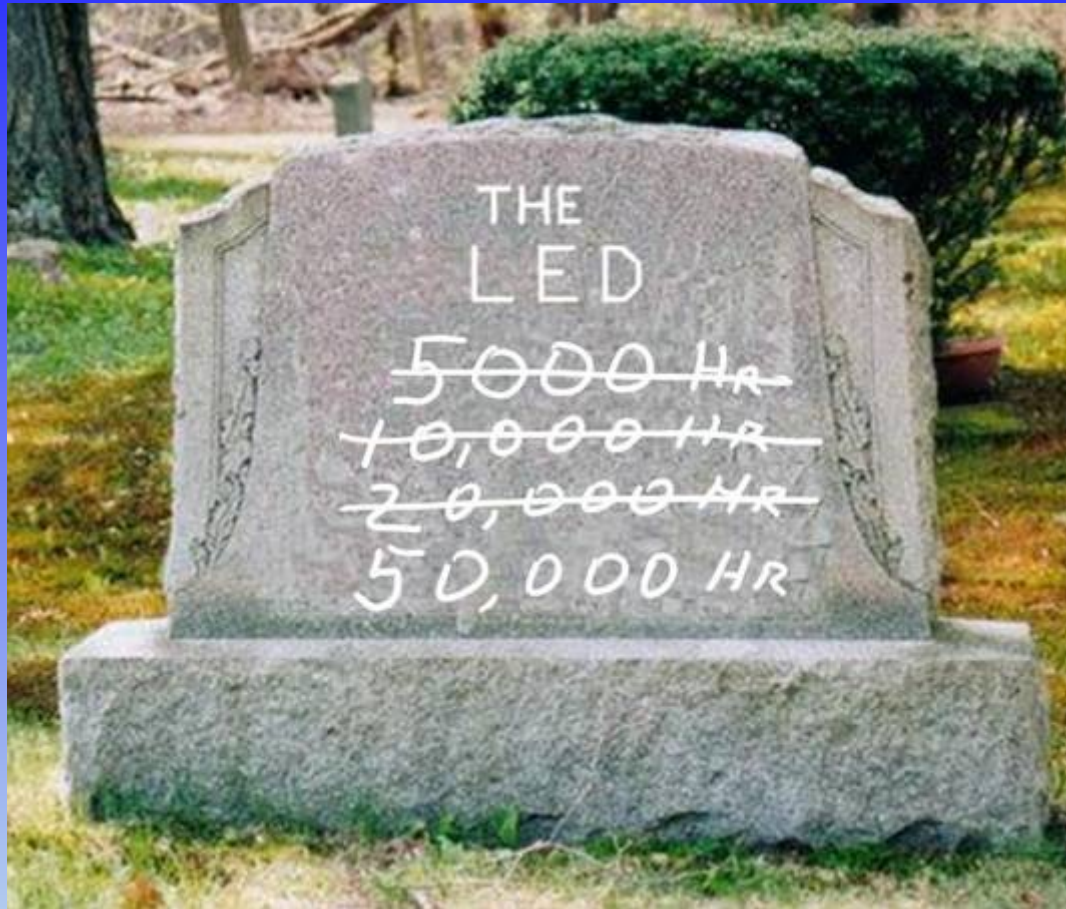


**Cold End**

**Hot End**



# Lifetimes

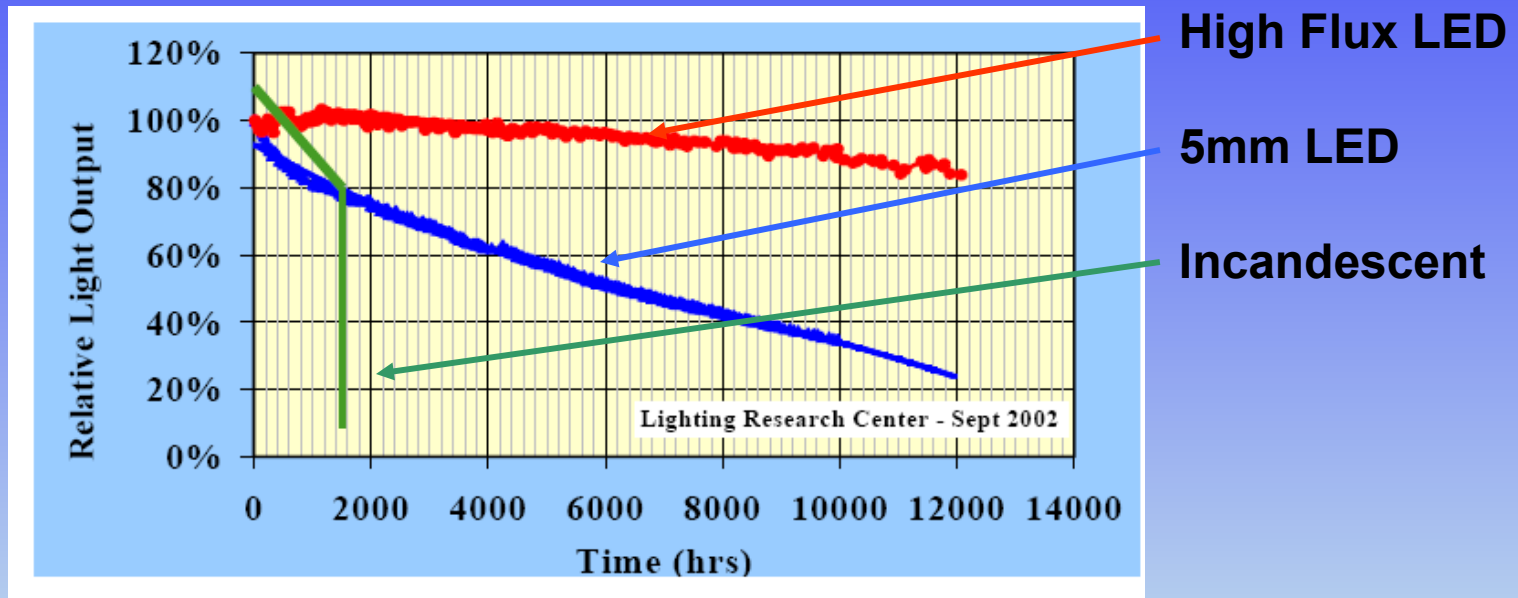




# Lifetimes

Traditionally, lifetime is the time it takes for 50% of the population of incandescent bulbs to fail

LED light sources don't fail catastrophically—Define EOL by reduction in light output from initial values, for example, 70% or 50% of initial value.



High Flux LED

5mm LED

Incandescent



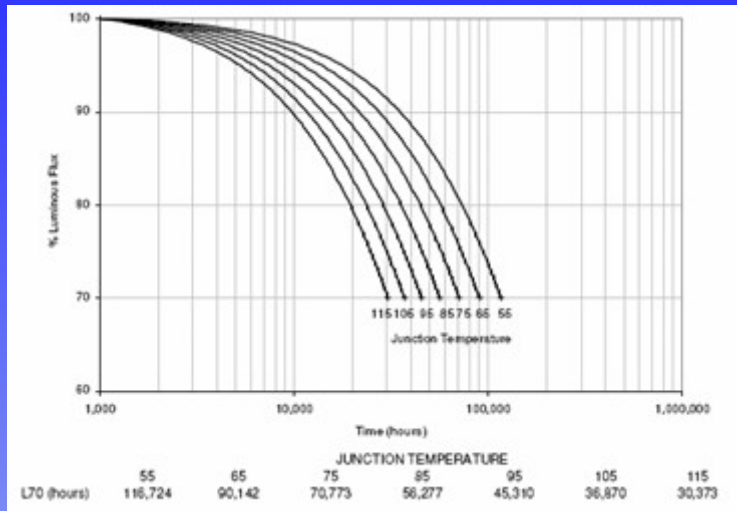
# Lifetimes

- What does lifetime mean?
  - Reading the fine print: 70%, 50%, conditions of test
- Do LED luminaire fixtures need to be designed with replaceable light sources?
  - How long will a fixture remain in use?
  - Is it easier to replace the source or the whole luminaire?
- How to signal that a light needs to be replaced?
  - Flash something?
  - Make it fail completely?



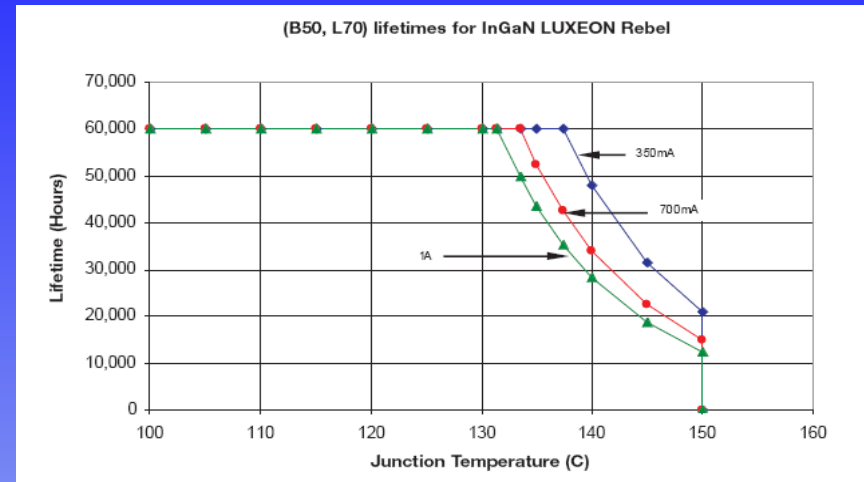
# Lifetimes

## Consistency in Measuring Lifetimes?



Courtesy Mark McClear, Cree

Cree



Lumileds Data Sheet

Lumileds

Standards are coming:

**IESNA LM-79** Approved Method for the Electrical and Photometric testing of Solid-State Lighting Devices

**IESNA LM-80** Approved Method for Measuring Lumen Depreciation of LED Light Sources (still in committee)

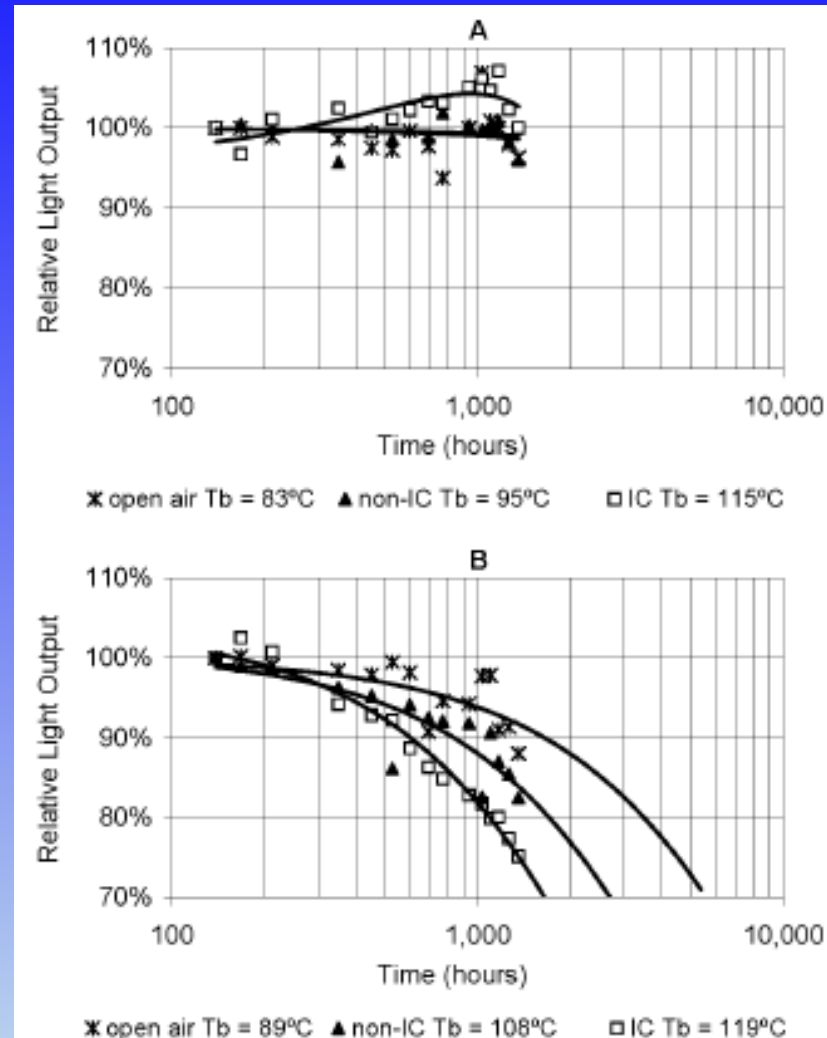
**ANSI C78.377** Specifications for Chromaticity of Solid-State Lighting Products



# Lifetimes

## Long life LED fixtures?

Two 26 W downlights were tested by the Lighting Research Center. It is obvious that B is not going to last much longer than an incandescent light bulb for the 119 degree case.

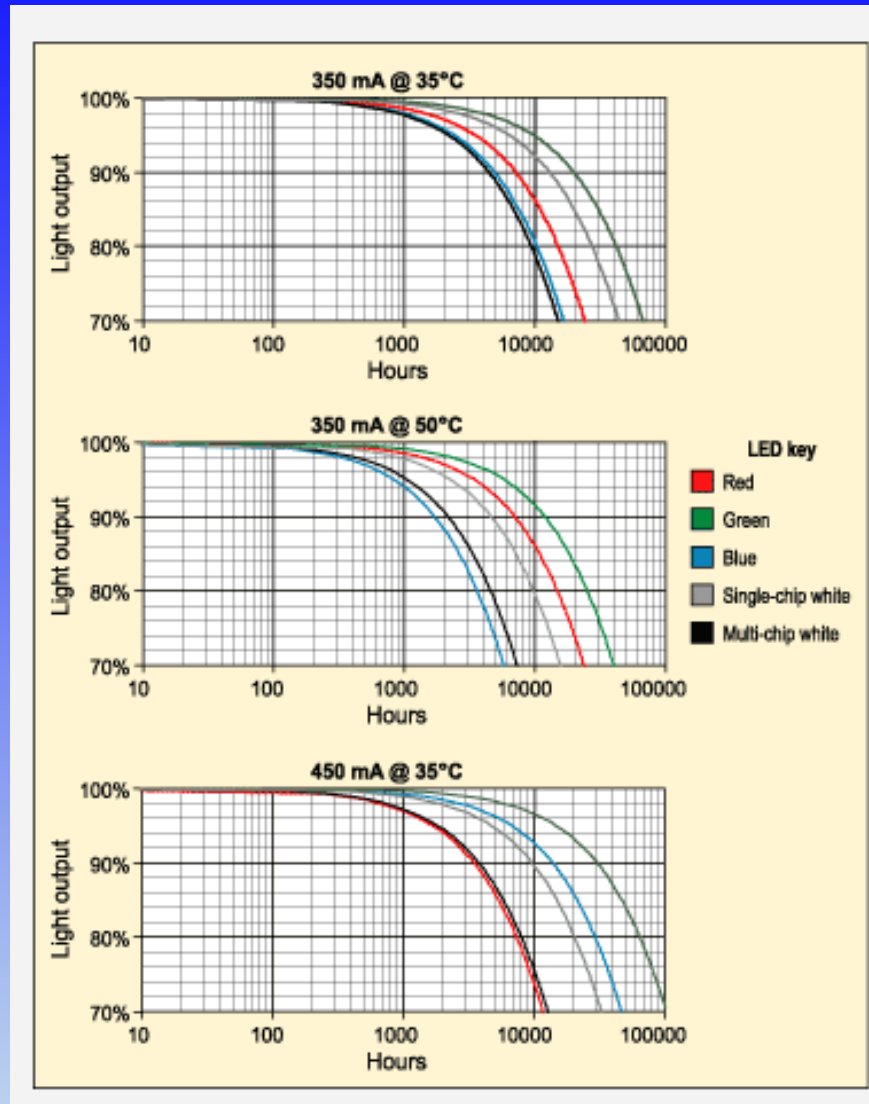




# Thermal Effect on LED lifetimes

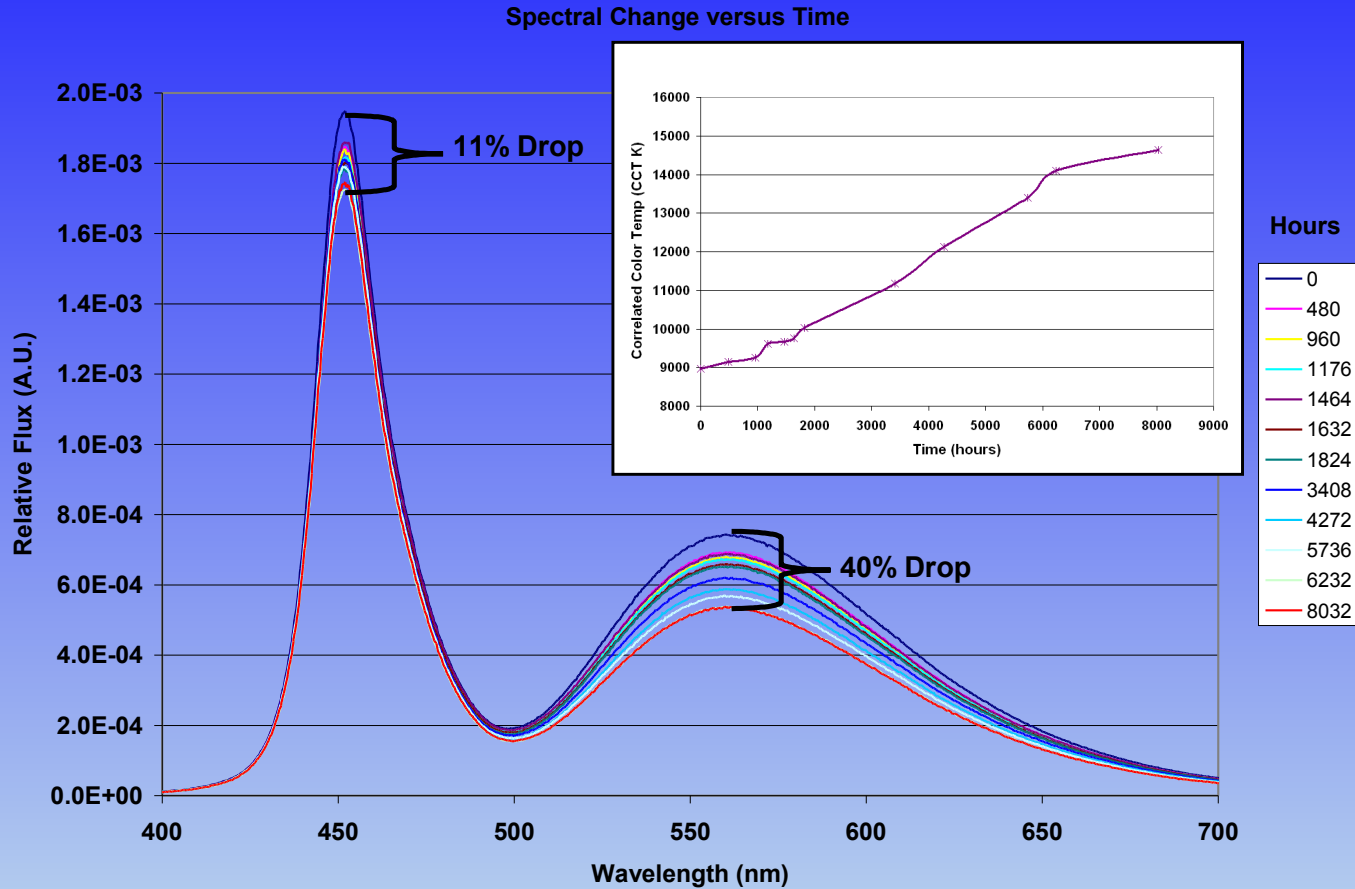
# Lifetimes

Data from LRC





## Color Shift with Operating Time



Source: Curran & Peck—Comparison of White LEDs, Lightfair 2006



## LED Failure Modes:

- L70—Light output reduced by 30% from initial value or color shift by more than 4 MacAdam ellipses
- Open
- Shorted

### Assume LED #2 opens:

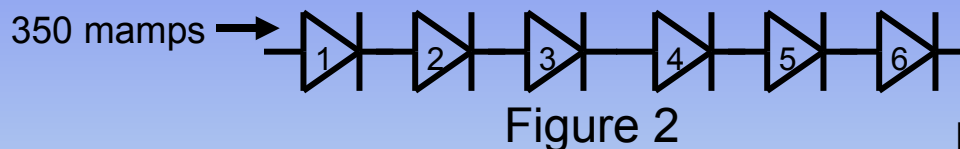
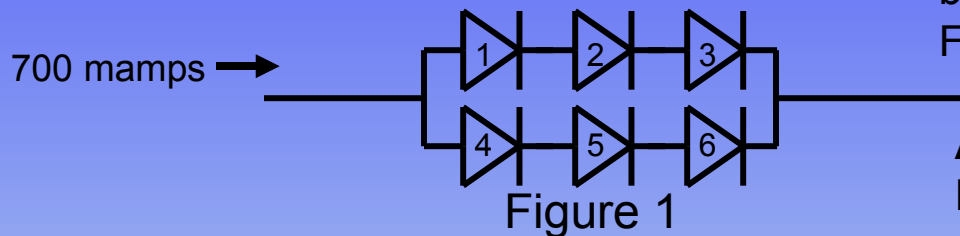
Figure 1) No current through #1,2,3;  
700mamps goes through #4,5,6;  
Overall light output reduced by 20%  
(#4,5,6 will be approximately 60%  
brighter and will fail prematurely)

Figure 2) No current flows; no light output

### Assume LED #2 shorts:

Figure 1) 420mamps through #1,2,3  
280mamps through #4,5,6  
Overall light output reduced by  
approximately 22%

Figure 2) 350mamps through #1,2,3,4,5,6;  
overall light output reduced by  
approximately 17%





# System Issues

## Needs to Look Like a Lightbulb



+



=

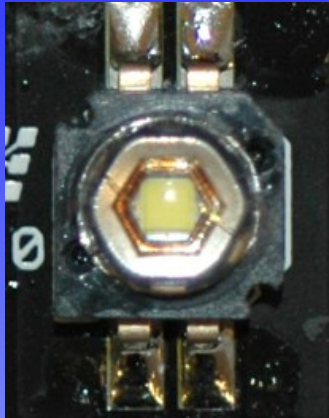




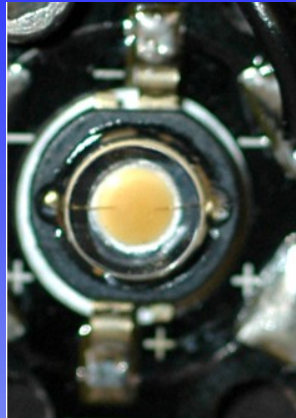
# System Issues

LEDs come in many shapes & sizes

Lumileds



Lumileds



Seoul Semiconductor



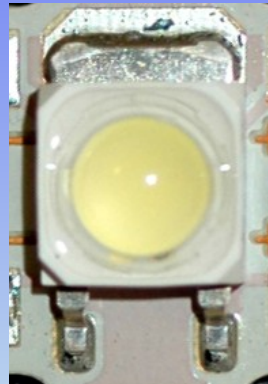
Osram



Cree



Nichia



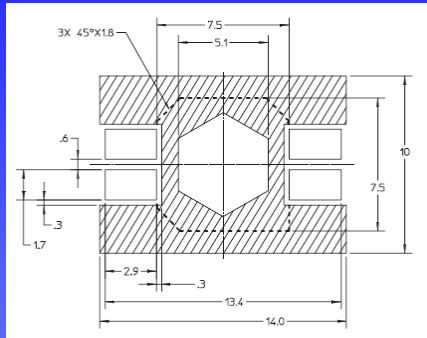
Lumileds  
Rebel



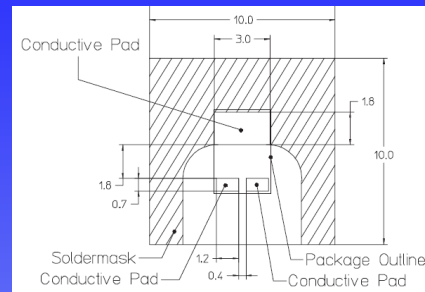


# System Issues

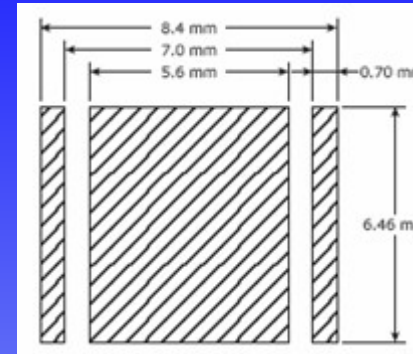
So do their attachment specifications:



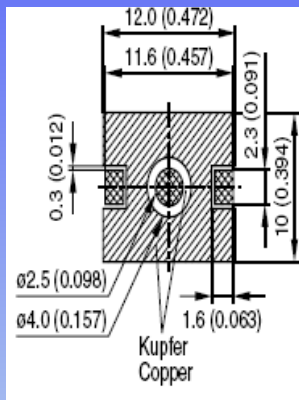
Lumileds K2



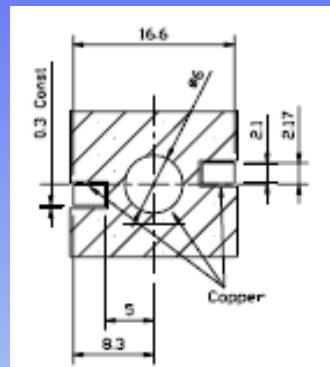
Lumileds Rebel



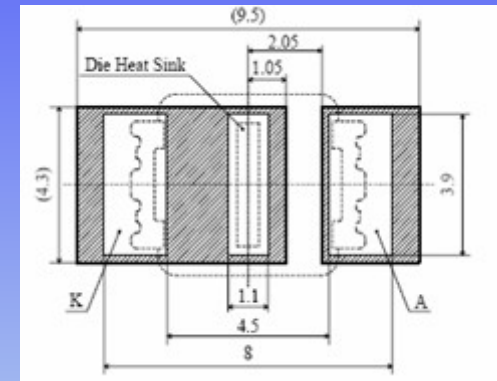
Cree XR-E



Osram  
Golden Dragon



Seoul P4

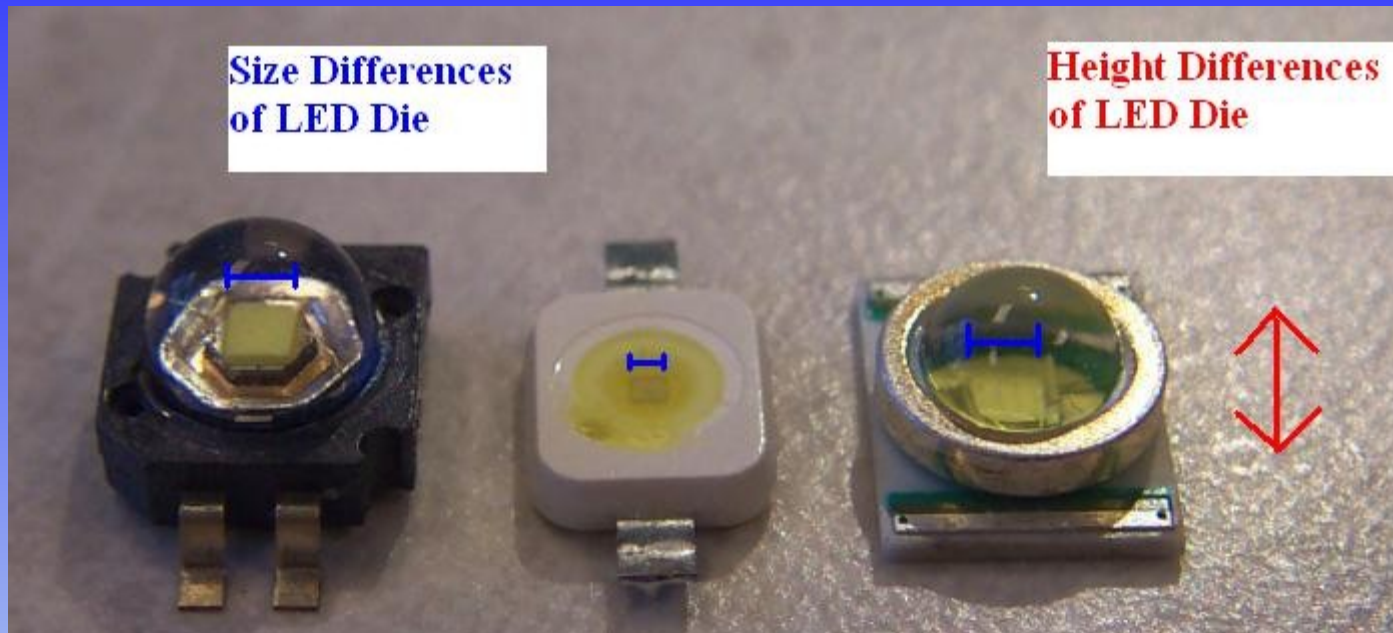


Nichia  
NS6W083



# System Issues

## Differences in physical LED parts



Lumileds  
Luxeon K2  
1mm x 1mm

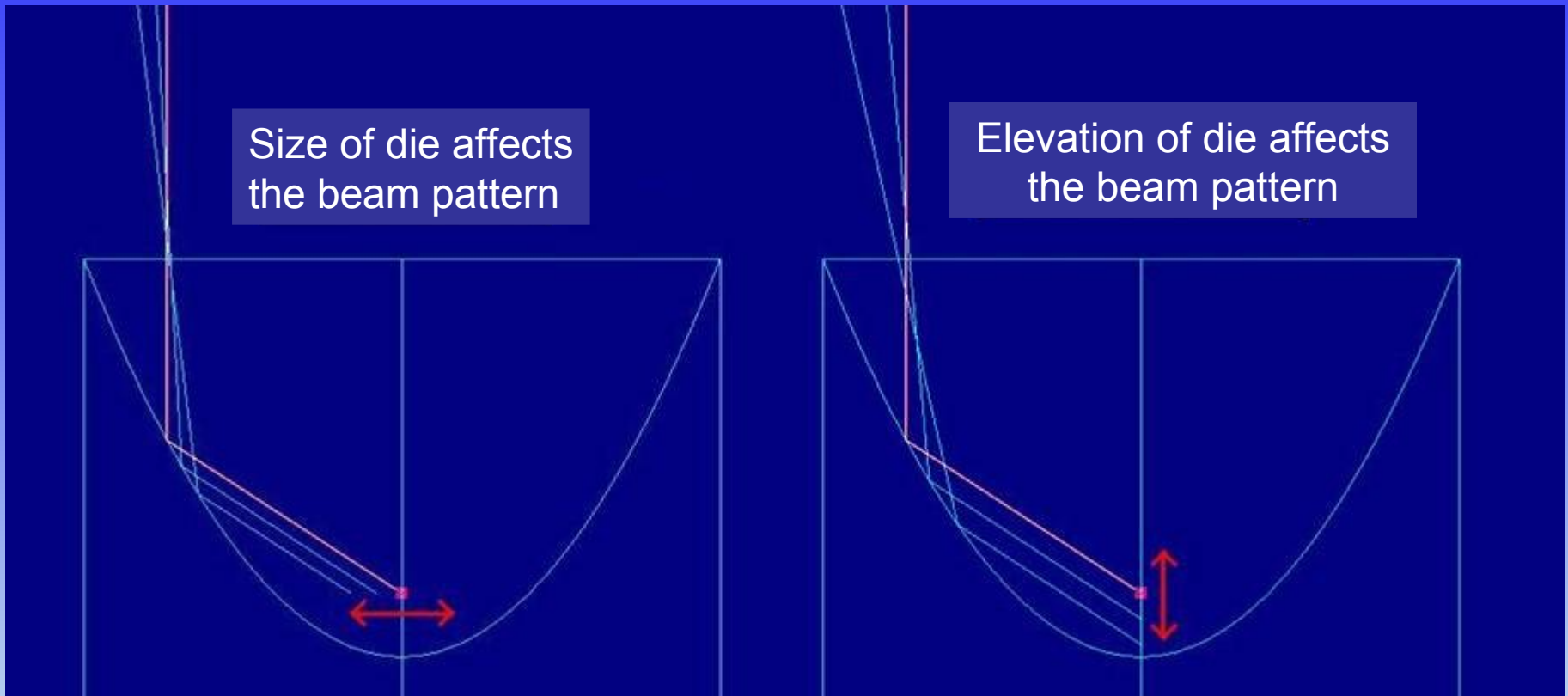
Osram  
Golden Dragon  
0.8mm x 0.8mm

Cree  
XRE  
1mm x 1mm



# System Issues

Effect on optical performance with different die sizes and heights



Courtesy John Peck, Dialight



# System Issues

- When a light bulb fails:
  - It stops producing photons
  - Its impedance goes infinite
- When LEDs “fail”
  - Still produce photons
  - System never directly sees LEDs electrically



# System Issues

## Intellectual Property

- Major minefield in product development
- Most LED manufacturers have licensing agreements in place or have cross-licensed IP
- Fights are now moving down the development chain
  - System control
  - Optics
  - Color mixing



# Looking on the Bright Side



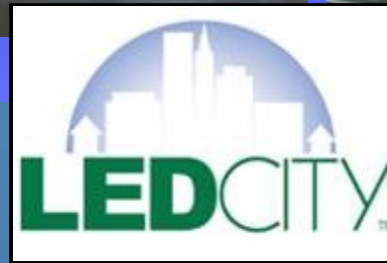
Ann Arbor, MI

Courtesy Relume



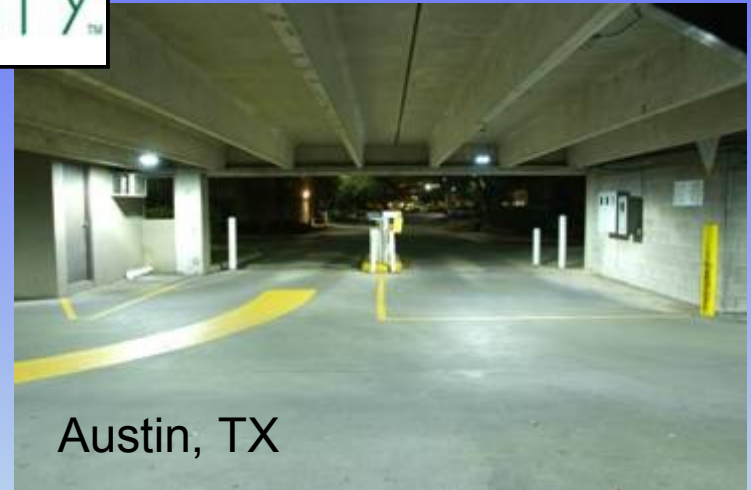
Raleigh, NC

Courtesy BetaLED



Toronto, Canada

Courtesy Leotech



Austin, TX

Courtesy BetaLED



# Looking on the Bright Side

Friendly's Restaurant, Westfield MA



Incandescent 5,135 W

Courtesy Cree



LED 948W



# Summary

## Things to Consider

- **Color**
  - Binning and changes over time/temperature
- **Thermal Management**
  - Significant amount of internal heat to dispose of
- **Flux--\$\$\$--Lifetime**
  - Be selective on applications
- **Driver Electronics**
  - Lifetime of LEDs doesn't matter if driver fails

**But don't ignore LEDs—They represent a significant percentage of the future lighting marketplace**



# Summary

A future generation may someday be looking at an exhibit like this:

A device used in the early part of this century to replace non-functioning light sources





# Acknowledgements

- Mark McClear      Cree
- John Peck          Dialight



# Thank You

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